

Introduction to NTMs and Role of Rotation

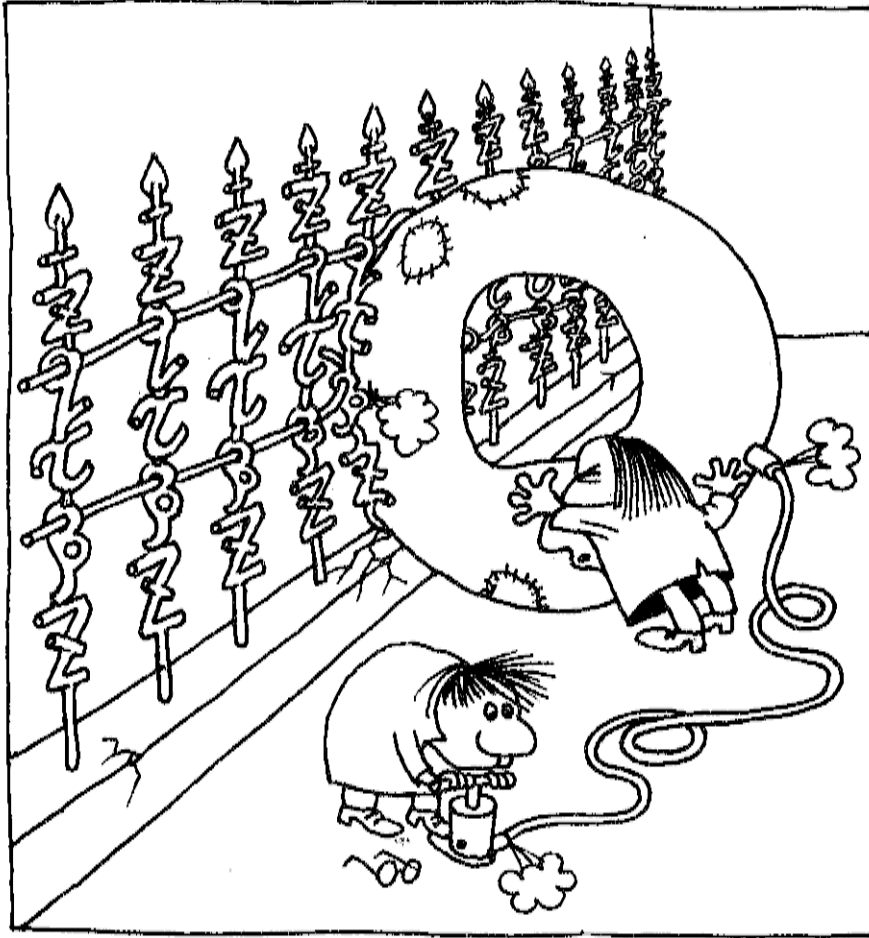
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4th ITER International Summer School, Austin, TX, USA

31 May – 4 June, 2010

Tokamak Instabilities



- Tokamaks are not minimum energy systems
- They contain pressure and current which can drive instabilities

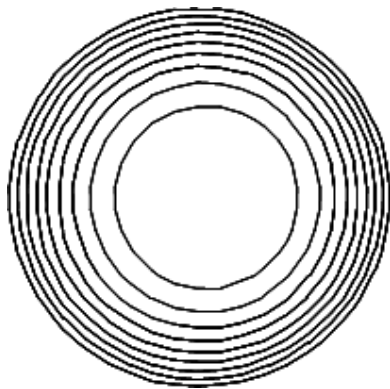
(courtesy T. Hender)

Tokamak Instabilities

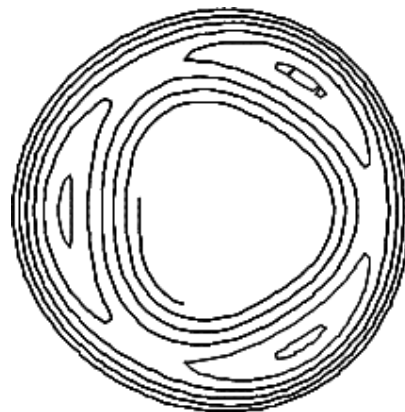
Magnetic field perturbation: $\vec{b} = \nabla \varphi \times \nabla \tilde{\psi}$

$$\tilde{\psi}(\varphi, \vartheta, r) = \tilde{\psi}_0(r) \cdot e^{i(n\varphi - m\vartheta)}$$

$$q(r) = \frac{m}{n} - \text{inside the plasma} \quad q(r) = \frac{m}{n} - \text{outside the plasma}$$

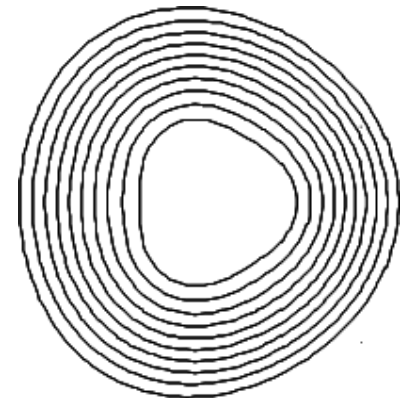


Unperturbed Magnetic
Surfaces



Internal (Tearing) Modes

or

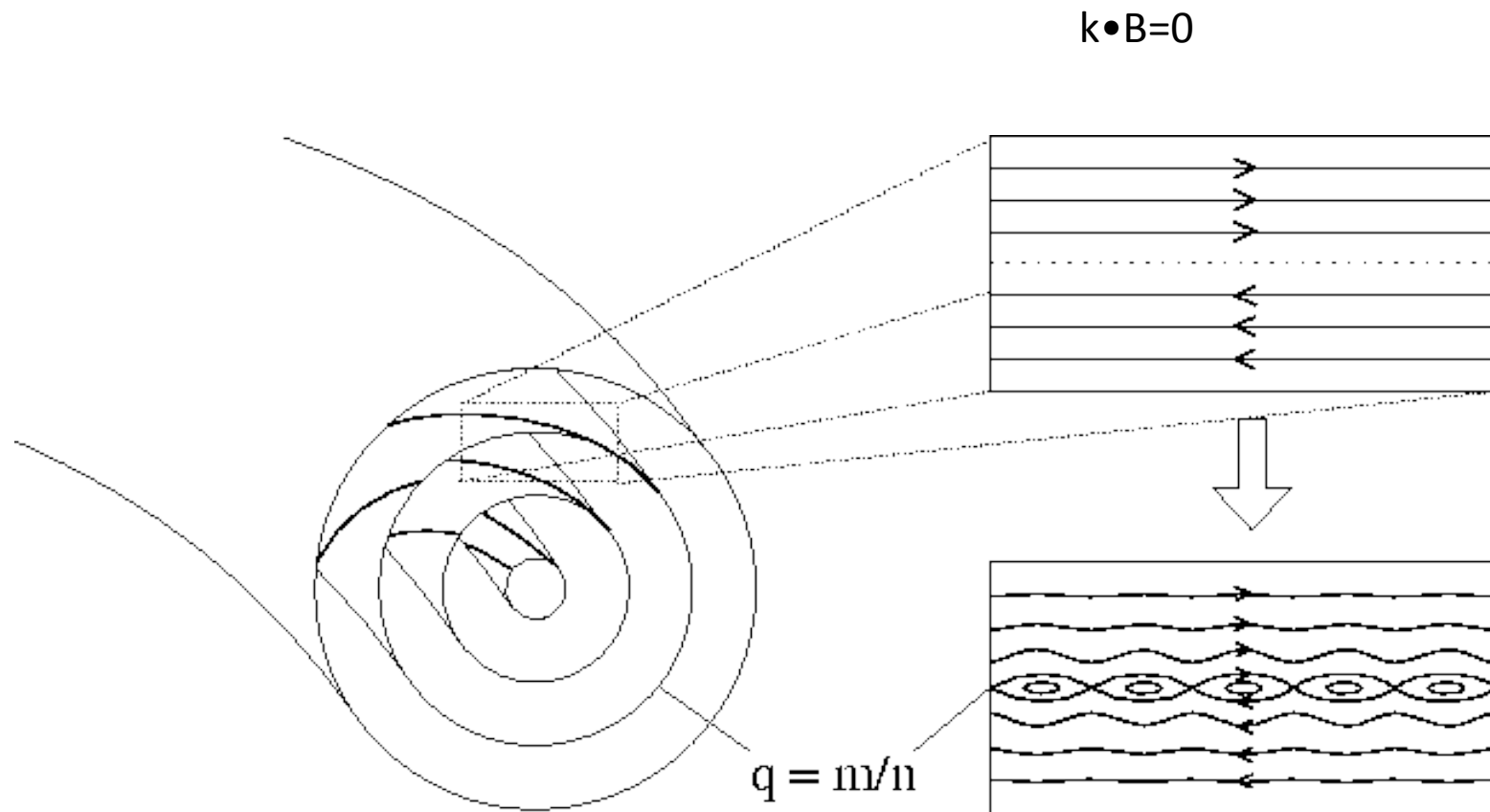


External Kink Modes

OUTLINE

- What are NTMs and why are they important?
- Simple physical picture of the instability
- Rutherford model equation
- Brief survey of exp'tal observation/
implications for ITER
- RF techniques of stabilization
- Role of rotation
- Outstanding theoretical and experimental
issues.

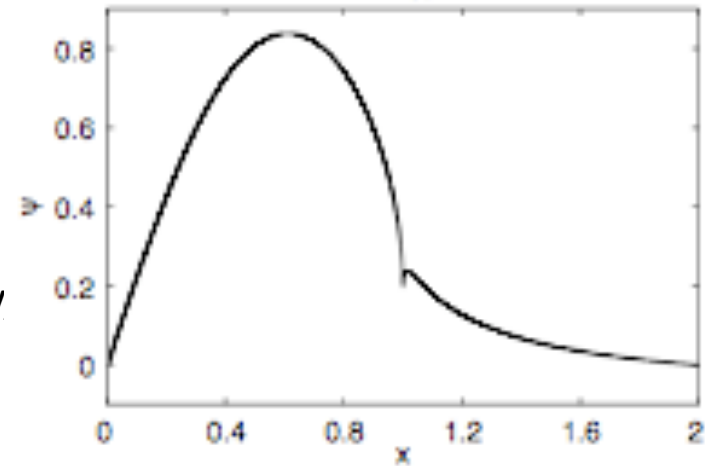
Tearing Modes and Magnetic Reconnection



“Tearing” of a current sheet

Classical Tearing Modes

- **Asymptotic theory**- uses two regions of the plasma
 - **Outer region** - marginal ideal MHD - kink mode
 - **Inner region** - include effects of inertia, resistivity nonlinearity, viscosity etc.
- **Matching between inner and outer region**



$$\frac{1}{2} \Delta' \psi_1 = \mu_0 R \int_{-\infty}^{\infty} d\rho \oint \frac{d\alpha}{2\pi} \cos(m\alpha) J_{\parallel},$$

- **Linear theory** : $\gamma \sim (\Delta')^{4/5} S^{-3/5}$

Magnetic island evolution in classical tearing modes

- Near mode rational surface $\mathbf{k} \cdot \mathbf{B} = 0$,
 $B_0 = B(r=r_s) - B_\theta(nq'/m)(r-r_s)\alpha$, $\alpha = \theta - (n/m)\zeta$

$$\delta B = \delta B_r \sin(m\alpha) \mathbf{r}$$

- Leads to the formation of a **magnetic island**
- Island width $w = 4(\delta B_r r_s / B_\theta nq')^{1/2}$
- when $w >$ resonant layer thickness - nonlinear effects important
- Nonlinear evolution – Rutherford regime

$$\frac{dw}{dt} \approx \eta \Delta'$$

$$\Rightarrow w \propto t$$

What are NTMs?

- NTMs are relatively large size **magnetic islands** that develop slowly at mode rational surfaces with low (m,n) mode numbers in **high temperature tokamak** plasmas.
- Like the **classical TMs** they are current driven but the current source is the **bootstrap current** - a **neoclassical** (toroidal geometry driven) source of free energy.
- They limit the attainable β in a tokamak to values well below the ideal MHD limit - hence they are a **major concern** for all reactor grade machines i.e. long pulse (steady state) devices.

- Their temporal evolution is adequately modeled by a generalized form of the Rutherford Equation

Classical Tearing mode:

$$\begin{array}{ccc}
 \boxed{E_{\parallel} = \eta J_{\parallel}} & & E_{\parallel} \sim -\frac{\partial A_{\parallel}}{\partial t} \quad J_{\parallel} \sim -\nabla^2 A_{\parallel} \\
 \Downarrow \quad \Downarrow & & \\
 \frac{d\delta B}{dt} = \eta \frac{\Delta'}{w} \delta B & \Rightarrow & \boxed{\frac{dw}{dt} \approx \eta \Delta'}
 \end{array}$$

- **In high temperature tokamaks neoclassical effects need to be retained**

Modified Ohm's Law

$$\langle E_{\parallel} \rangle = \eta J_{\parallel} + \frac{1}{neB} \langle B \cdot \nabla \cdot \pi_{\parallel e} \rangle$$



Bootstrap current



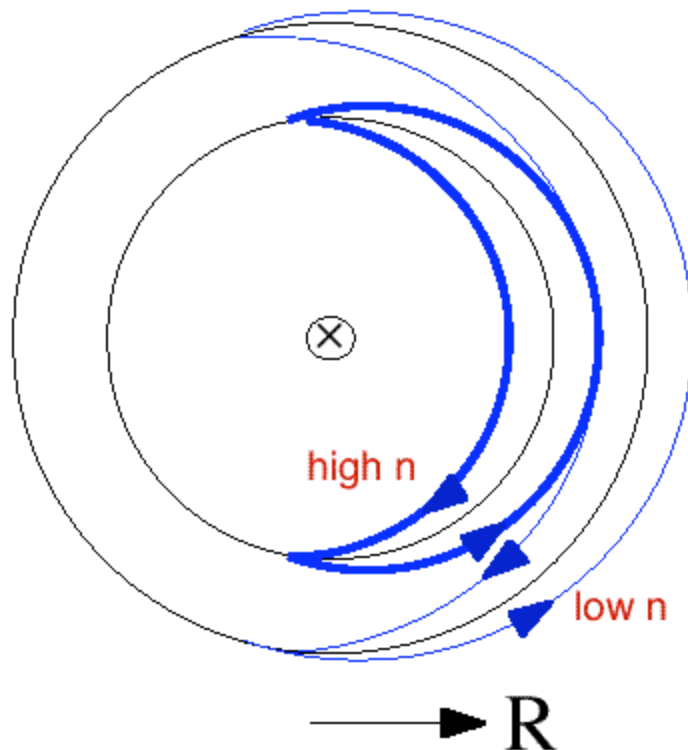
$$\frac{1}{neB} \langle B \cdot \nabla \cdot \pi_{\parallel e} \rangle \approx \frac{\mu_e}{\nu_e} \frac{1}{B_{\theta}} \frac{dp}{dr} + \eta \frac{\mu_e}{\nu_e} J_{\parallel}$$

Electron viscous stress which describes damping of poloidal electron flows - new free energy source.

Dependence on pressure gradient, also fraction of trapped particles

BOOTSTRAP CURRENT

Projection into a poloidal plane



generated by trapped particles:

example: banana particles

- electrons drift from flux surfaces due to the ∇B -drift
- electrons with low parallel velocity are trapped in the toroidal mirror
 \Rightarrow **banana orbits**
- at the intersection of 2 banana orbits a net current results due to the density gradient
- passing particles exchange momentum with trapped particles
 \Rightarrow **bootstrap current**

similar: helically trapped particles

Modified Rutherford Equation

$$\frac{dw}{dt} = \frac{\eta}{\mu_0} \left(\Delta' + \frac{D_{nc}}{w} \right)$$

where $D_{nc} = -\sqrt{\epsilon} \frac{2\mu_0}{B_\theta^2} p' \frac{q}{q'} k_0$

$$p'q' < 0, \quad D_{nc} > 0$$

Unstable for normal tokamak operation

$$p'q' > 0, \quad D_{nc} < 0$$

Stable in reversed shear regions

- Can be unstable for $\Delta' < 0 \Rightarrow$

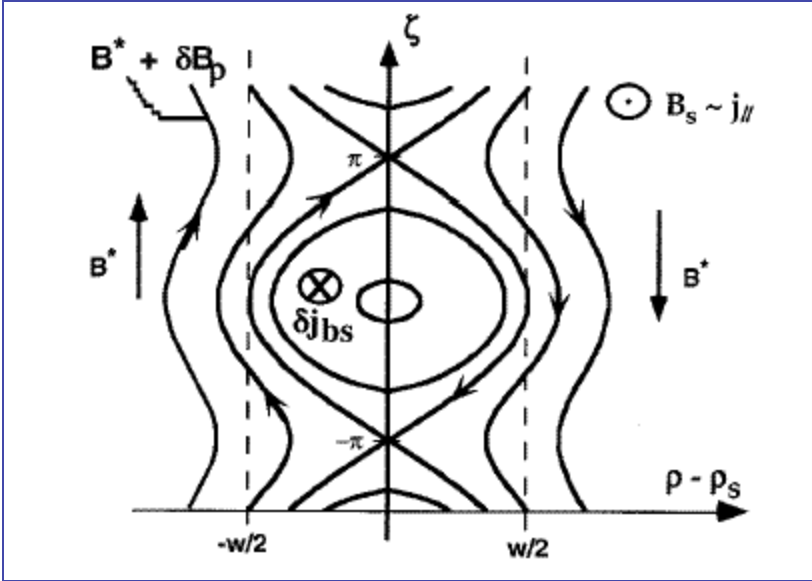
$$w_{sat} = \frac{D_{nc}}{-\Delta'} \approx \frac{r_s \beta_\theta}{m}$$

- for small islands

$$w \sim \sqrt{\eta t}$$

PHYSICS OF NTM

- Plasma pressure profile is flattened within the island - $\mathbf{J_{bs}}$ is turned off
- This triggers a $\delta\mathbf{J_{bs}}$ with the same helical pitch as the island
- the corresponding induced $\delta\mathbf{B}$ has the same direction as the initial perturbation and **enhances it**



This picture neglects finite perpendicular thermal conductivity within the island - important for small island widths - leads to **threshold size**.

Finite perpendicular thermal conductivity effect

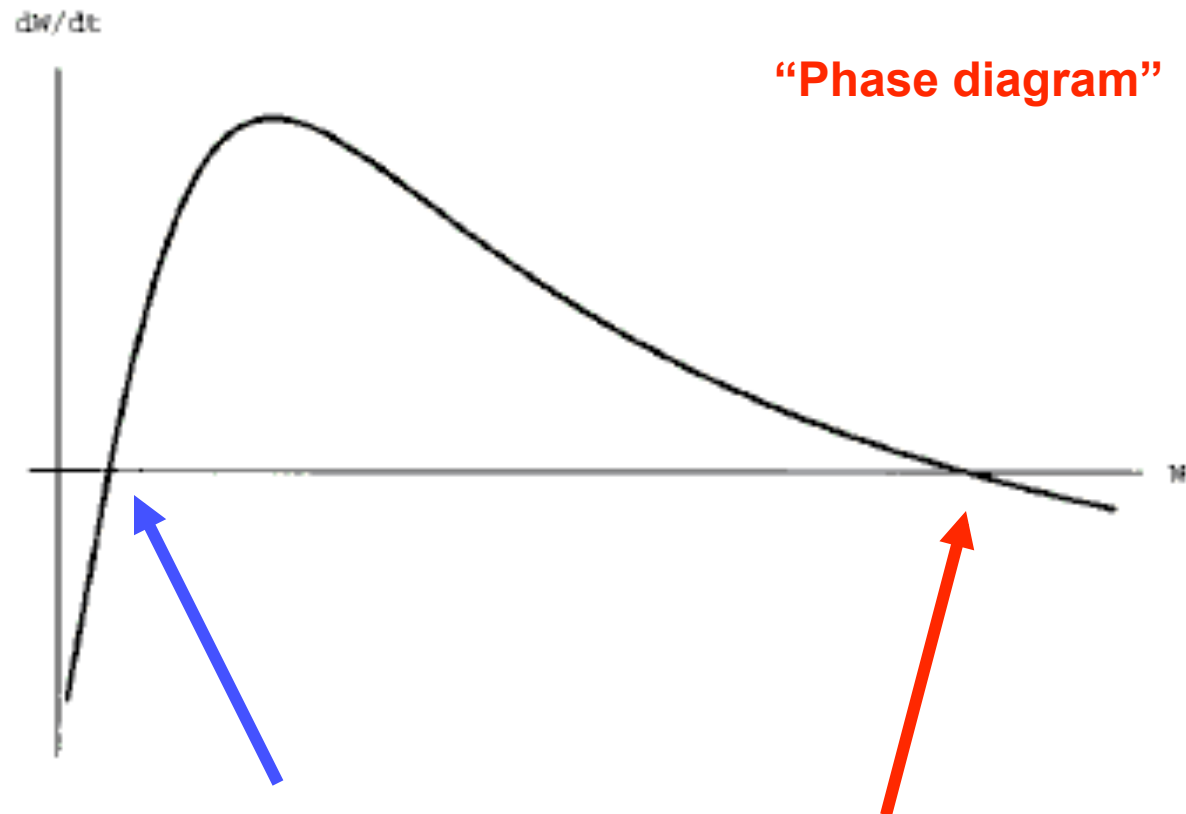
$$\frac{dw}{dt} = \frac{\eta}{\mu_0} \left(\Delta' + D_{nc} \frac{w}{w^2 + w_c^2} \right)$$

$$w_c \sim \left(\frac{\chi_{\perp}}{\chi_{\parallel}} \right)^{1/4} \sqrt{\frac{q^2 R}{mq'}}$$

Threshold - “seed” – island size

$$w_{seed} = -\frac{\Delta' w_c^2}{D_{nc}}$$

NTM characteristics



“seed” island necessary for growth
– so NTM is a nonlinear mode
“subcritical instability”



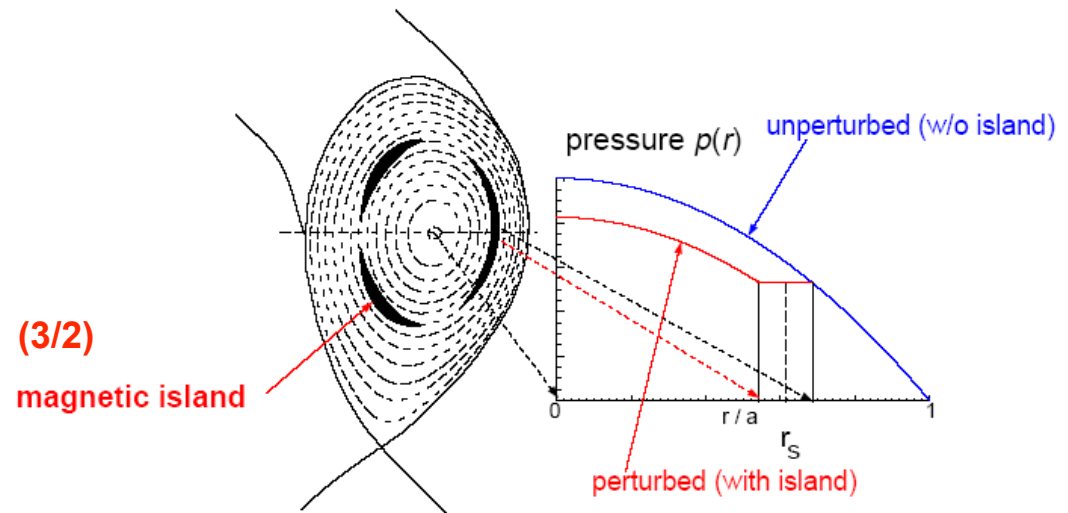
How is the seed island created?

Saturation width proportional to β_θ - hence limits plasma pressure

Effects of NTMs

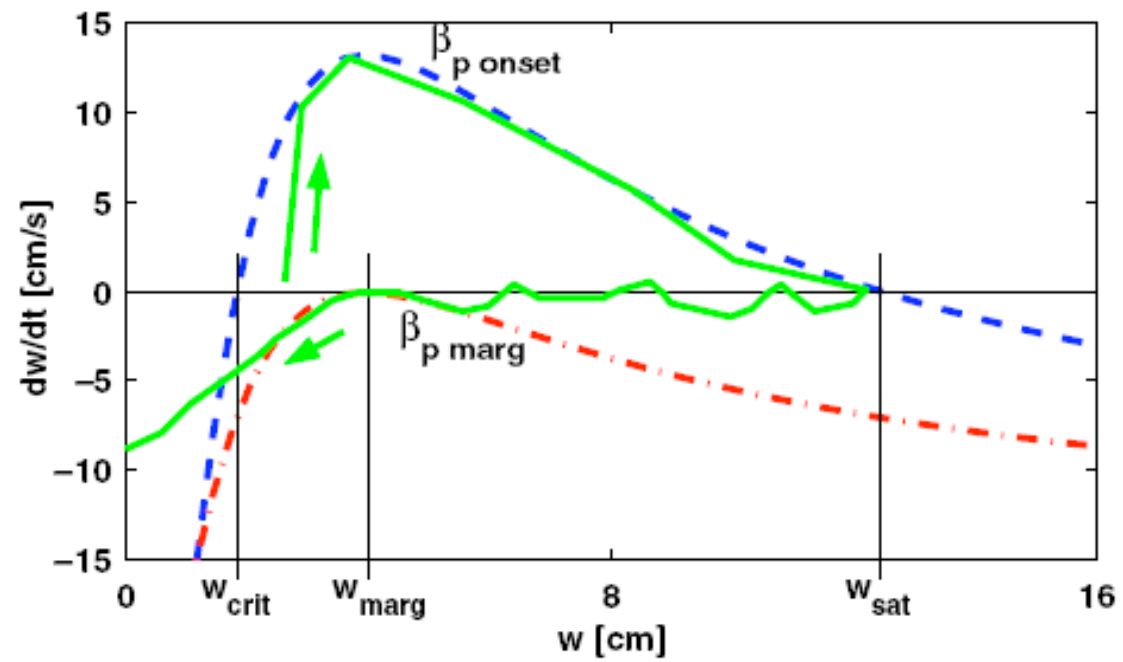
- **Can degrade confinement** – fast temperature flattening across island due to high parallel thermal conductivity

$$\frac{\Delta \tau_E}{\tau_E} = 4 \frac{w \rho_s^3}{a^4}$$



- Can cause **disruption** if island size becomes comparable to distance between mode rational surface and plasma edge (depends on β_{poloidal})

Time evolution of an NTM growth rate



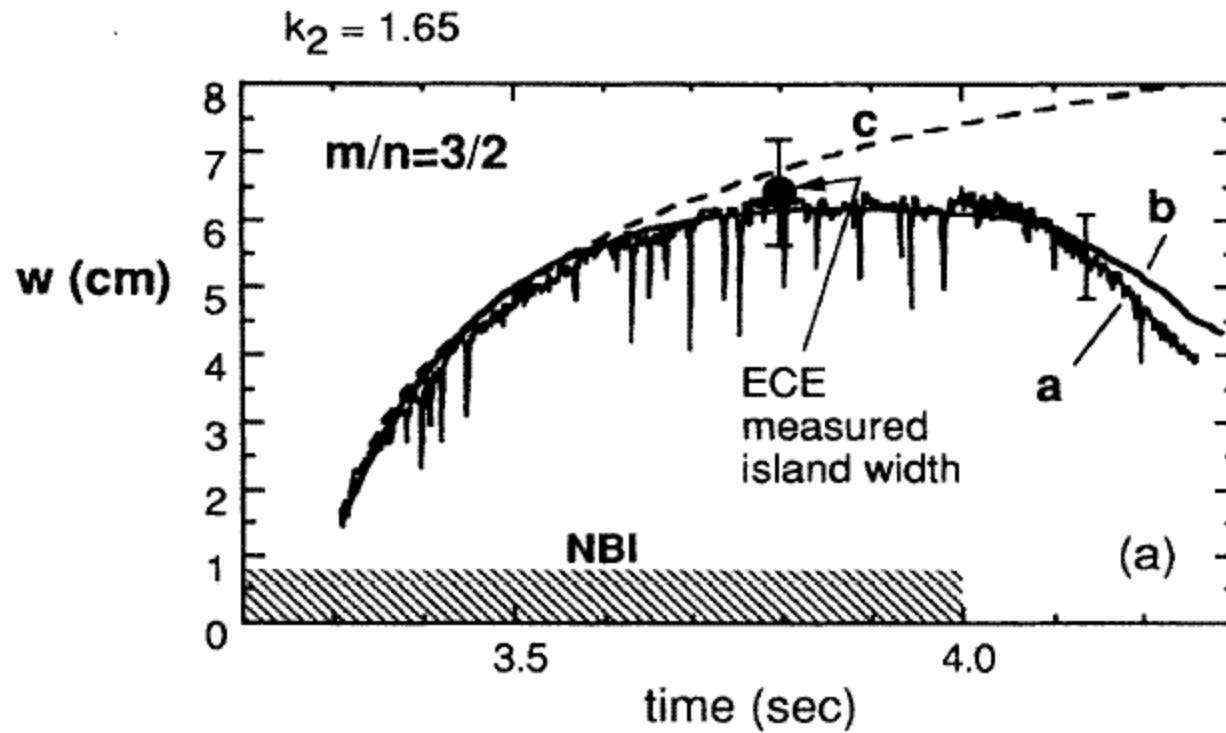
Brief Survey of Experimental Observations on NTMs

Experimental observation of NTMs

- Earliest observations were on TFTR - in supershot discharges
- **Mainly (3/2) or (4/3) modes with $f < 50\text{kHz}$**
- Degradation of plasma performance with growth of NTM
- Characteristics agreed quite well with Rutherford model estimates

(Z. Chang et al, PRL **74** (1995) 4663)

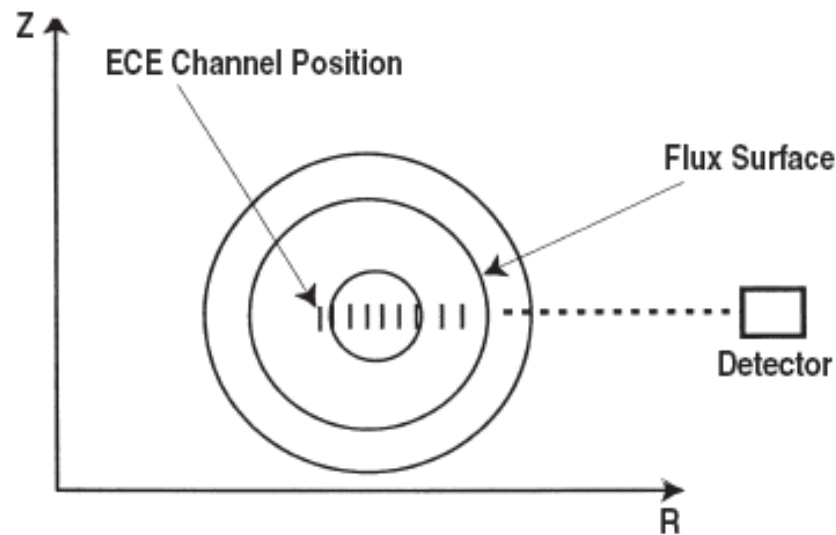
TFTR



Comparison of “measured” island widths with Rutherford model estimates.

Island Structure Can be Measured by Electron Cyclotron Emission of T_e Fluctuation Radial Profile

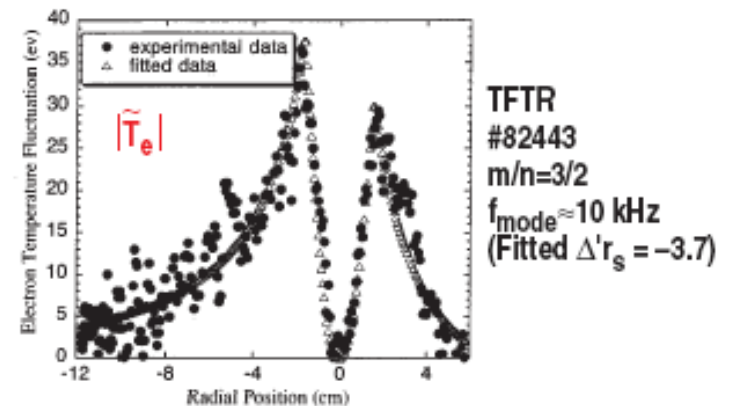
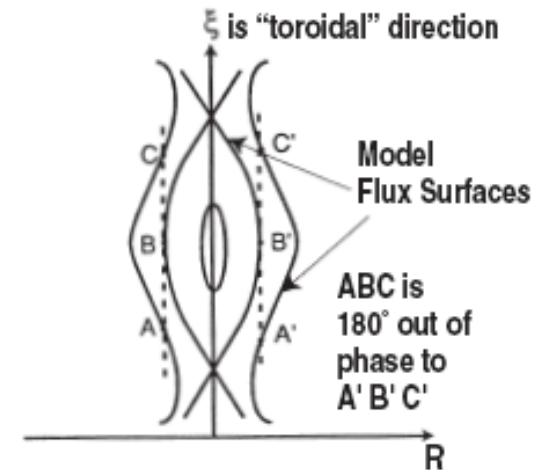
- Magnetic surface distortion
★ leads to T_e fluctuation



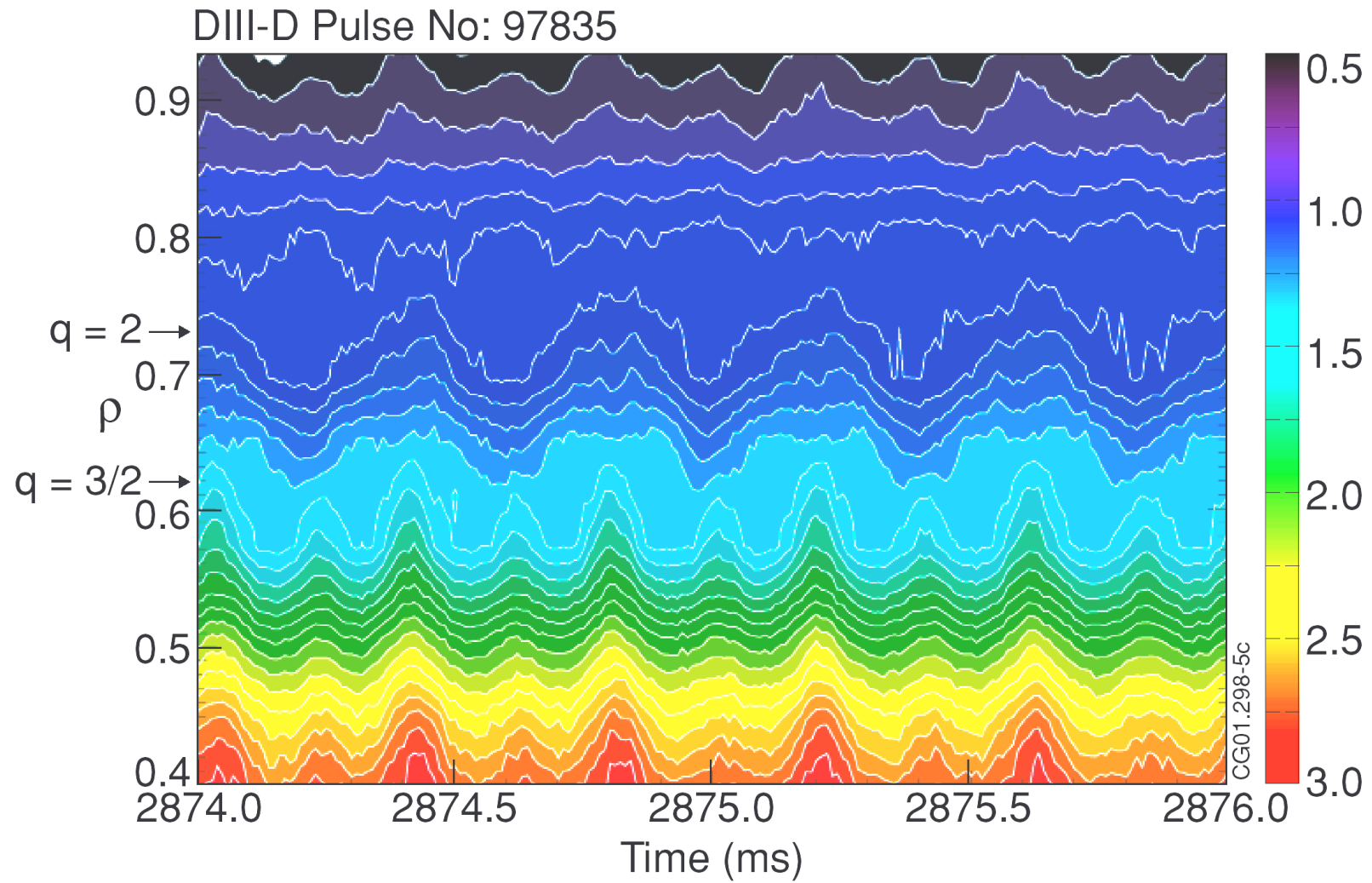
(Y. Nagayama et al., 1990)

is also measured by magn. Probes:

$$w = 4\sqrt{\frac{q\psi}{q'B_{\theta s}}} = 4\sqrt{\frac{R_0 q}{B_0 s}} \rho_s^m \delta B_{\theta, mn, edge}.$$

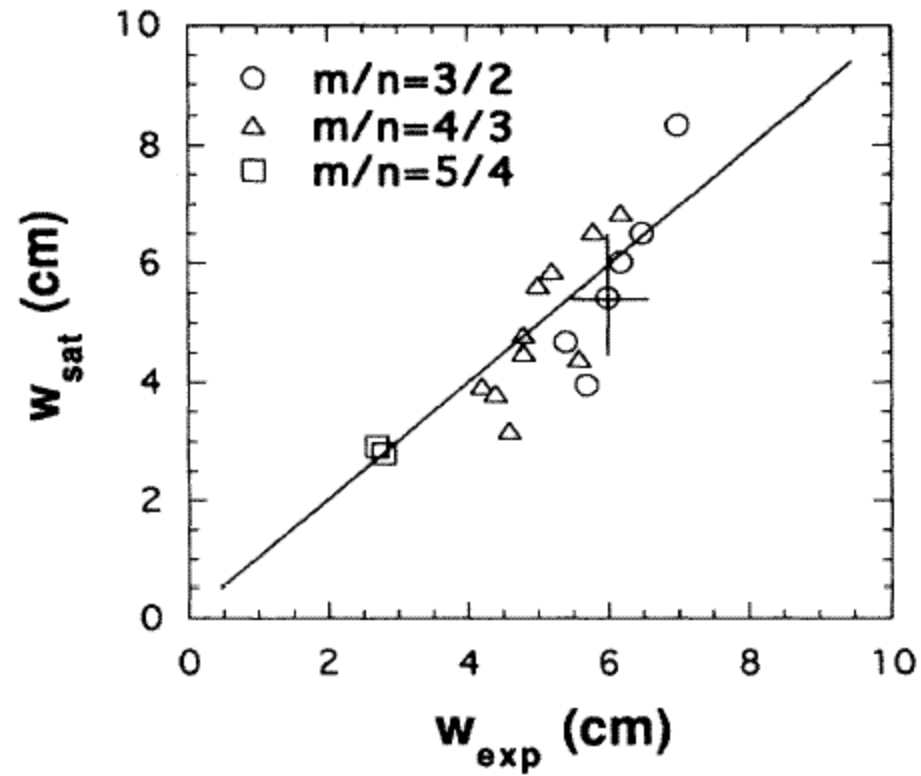


(C. Ren, et., 1998)



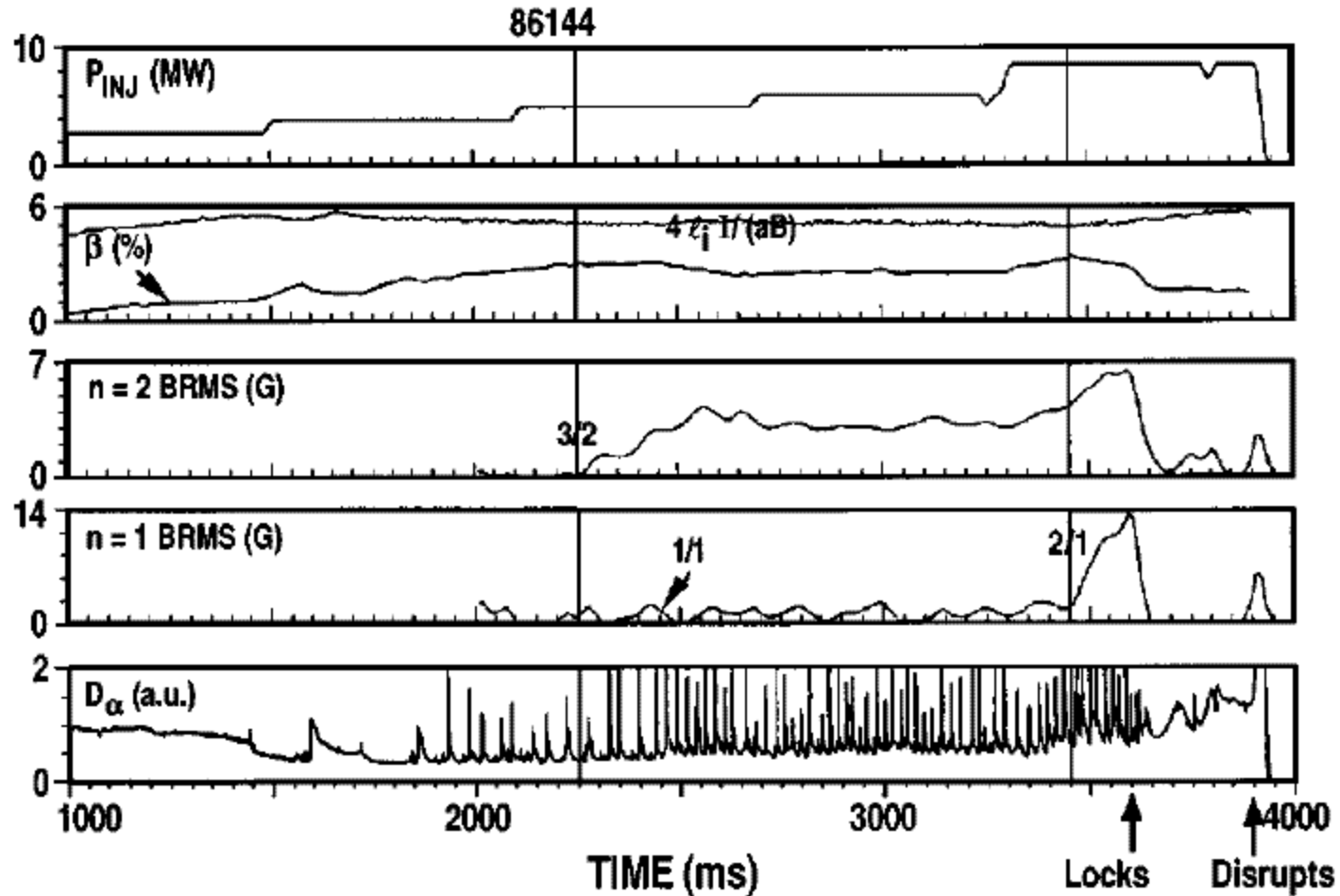
T Hender et al, Nucl Fus 2002

TFTR



Theory - experiment comparison of saturated island widths

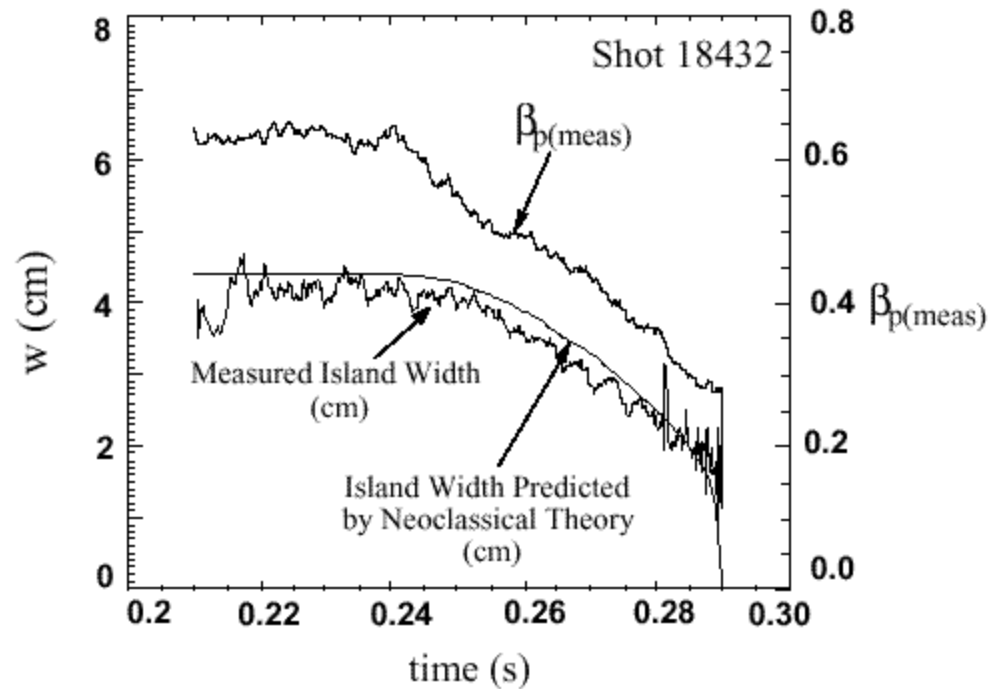
D- III- D observations



A $3/2$ mode is excited at $t=2250$ - saturates beta; at $t=3450$ a $2/1$ mode grows to large amp, locks and disrupts. Ideal beta limit is 3.4

[O. Sauter et al, PoP 4 (1997) 1654]

COMPASS D

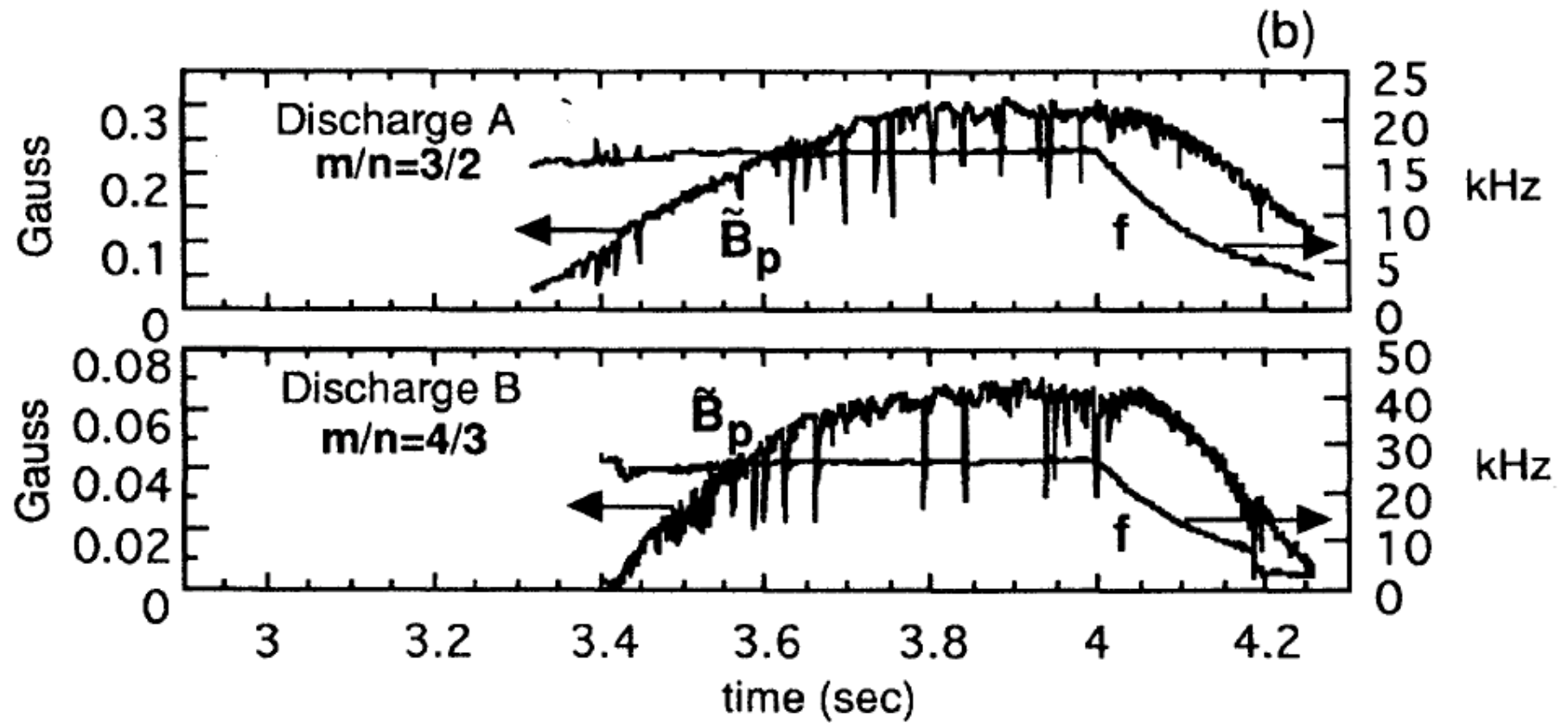


Saturated island width scales like β_p

$$w_{sat} = -a_1 \epsilon^{1/2} \left(\frac{L_q}{L_p} \right) \frac{\beta_p}{\Delta'}$$

[D.A. Gates et al, Nuclear Fusion **37** (1997) 1593]

TFTR



Single helicity NTMs; $f < 50$ kHz

ASDEX UPGRADE

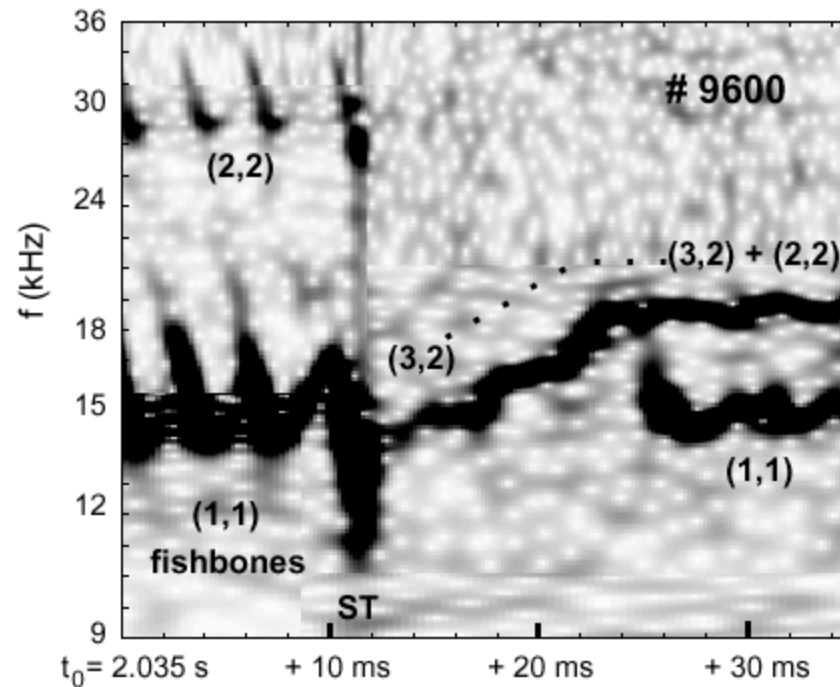


Figure 3. Wavelet plot of an early NTM immediately after a sawtooth crash. The NTM frequency rises during the first 10 ms.

Many experiments have shown a strong correlation between a sawtooth crash and an NTM excitation

ASDEX UPGRADE

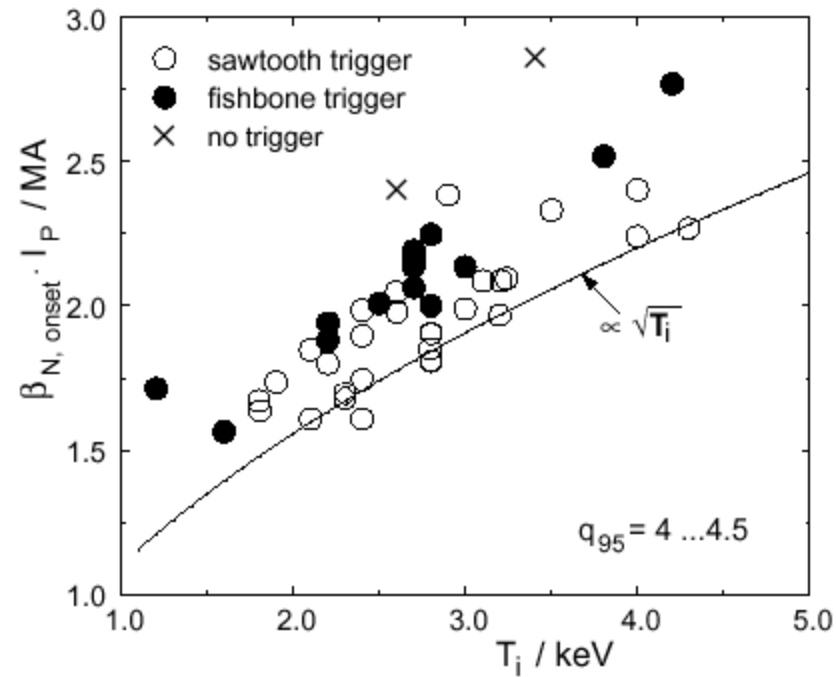
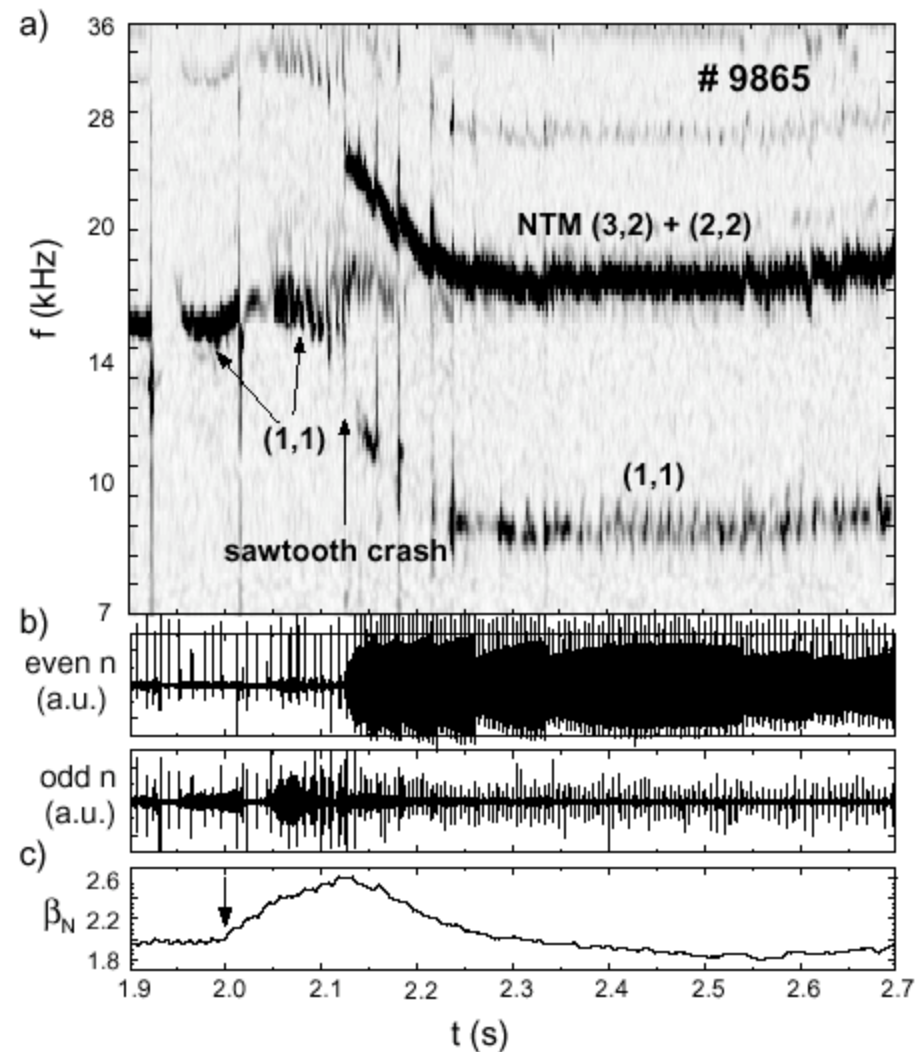


Figure 4. $\beta_{N,onset} \cdot I_p$ vs. the ion temperature at the (3,2) radial position, T_i . Additionally the scaling, $\beta_{N,onset} \cdot I_p \propto \sqrt{T_i}$, is shown [2].

ASDEX U

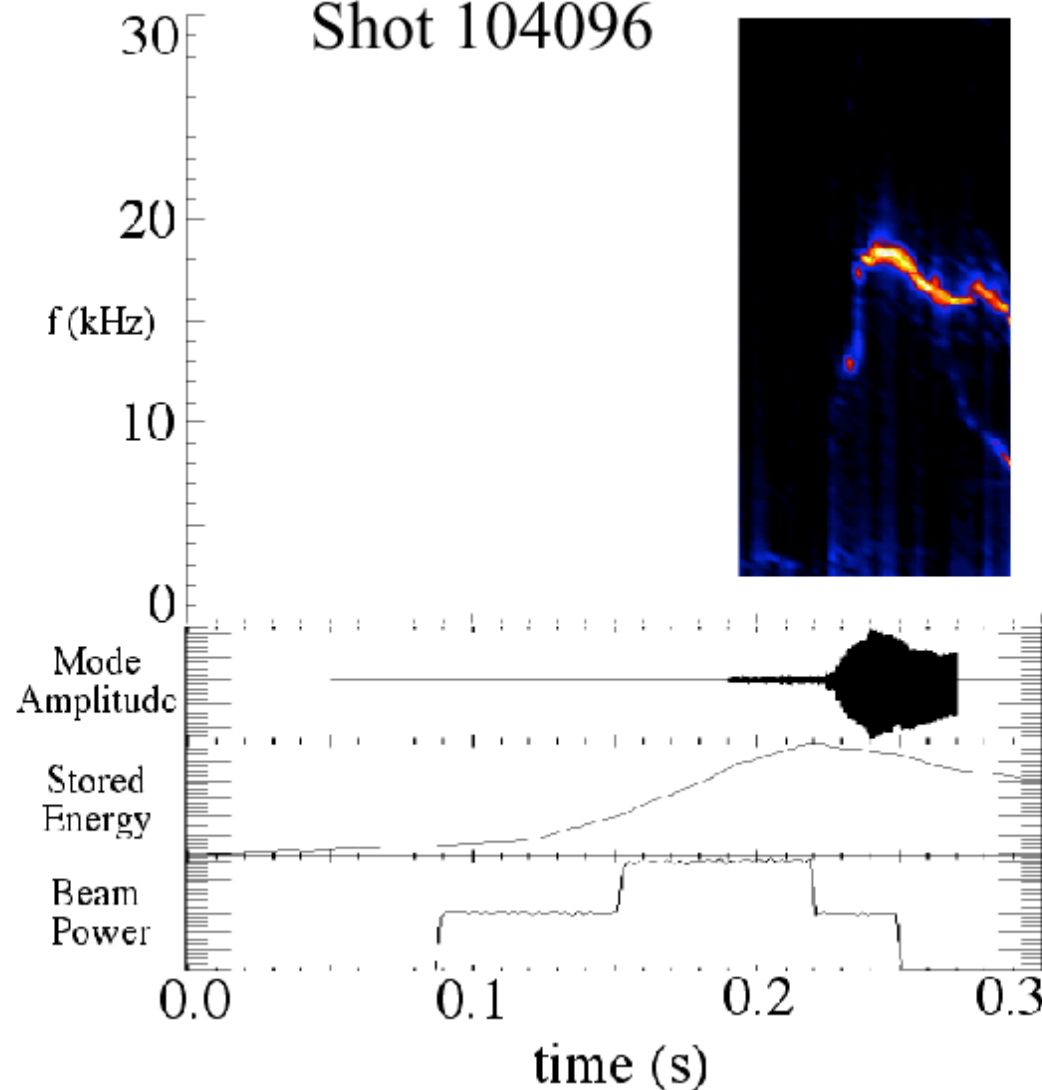
Figure 1. a) Wavelet plot [6] of an NTM. Dark areas represent mode activity. Before the onset of the NTM at 2.126 s fishbone bursts are seen. b) Mirnov signals. The even n signal is dominated by the NTM, the odd n signal by (1,1) modes. c) $\beta_N = \beta_t a B / I$ with $\beta_t = 2\mu_0 p / B_t^2$; the arrow indicates the increase of neutral beam injection power from 5 to 7.5 MW.



NTMs can also be triggered by fishbone activity
Other triggers: ELMs....

NSTX

Shot 104096



- Mode appears at constant poloidal β ($\beta_p \sim 0.4$)
- Slower growth \Rightarrow resistive mode
- Beam turn off experiment indicates amplitude reduction with stored energy
 - *indicative of bootstrap current driven tearing mode*

How to eliminate or control NTMs?

- Directly control NTMs through appropriate feedback control schemes
 - **ECCD** scheme most successful
 - Also **ECH**
- Get to the trigger : prevent sawtooth crash, prevent large ELMs etc
- Other ideas: profile control, rotation, mode coupling etc

How to Stabilize an NTM?

- Principal Idea: **Restore the suppressed bootstrap current within the island**
- localized current drive -- ECCD, LHCD, NB(?)
- localized heating - helical temperature variations
modify current profile
- localized density deposition - also changes pressure

- Ohm's law with auxiliary current

$$J_{\parallel}(\Psi) = \frac{1}{\eta} \langle E_{\parallel} \rangle + \frac{1}{\eta B} \langle \mathbf{B} \cdot \nabla \cdot \boldsymbol{\pi}_{\parallel e} \rangle + \langle J_{\text{aux}} \rangle,$$

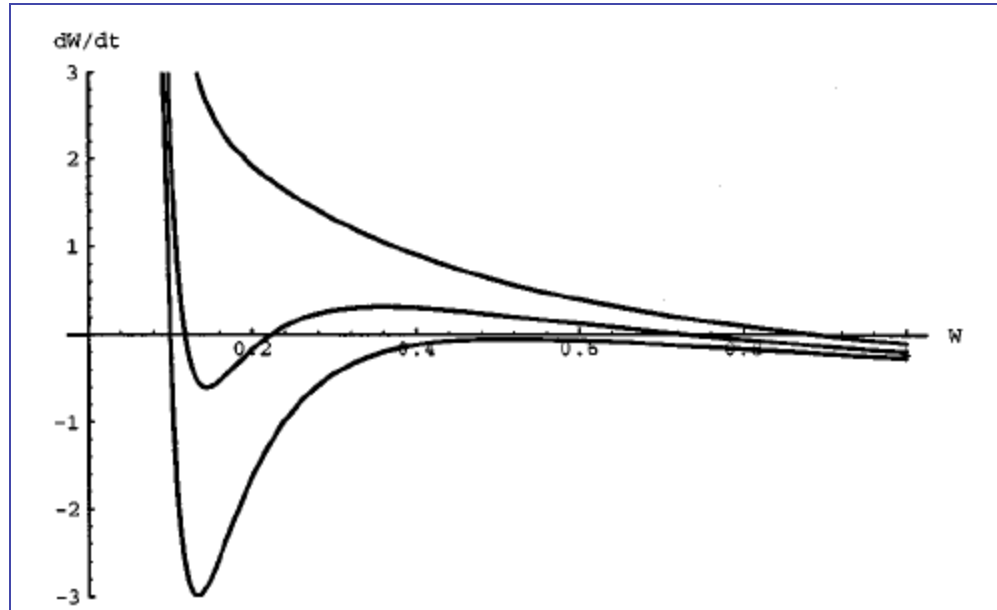
- **Modified Rutherford Equation**

$$0.82 \frac{dw}{dt} = \frac{1}{\tau_r} \left(\Delta' \rho_s + \frac{D_{nc}}{w} - \frac{D_{\text{aux}}}{w^2} \eta_{\text{aux}} \right),$$

$$D_{\text{aux}} = \frac{I_{\text{aux}} \mu_0 R}{s \psi'_s \rho_s} \frac{16}{\pi}, \quad \eta_{\text{aux}} \text{ is an efficiency factor}$$

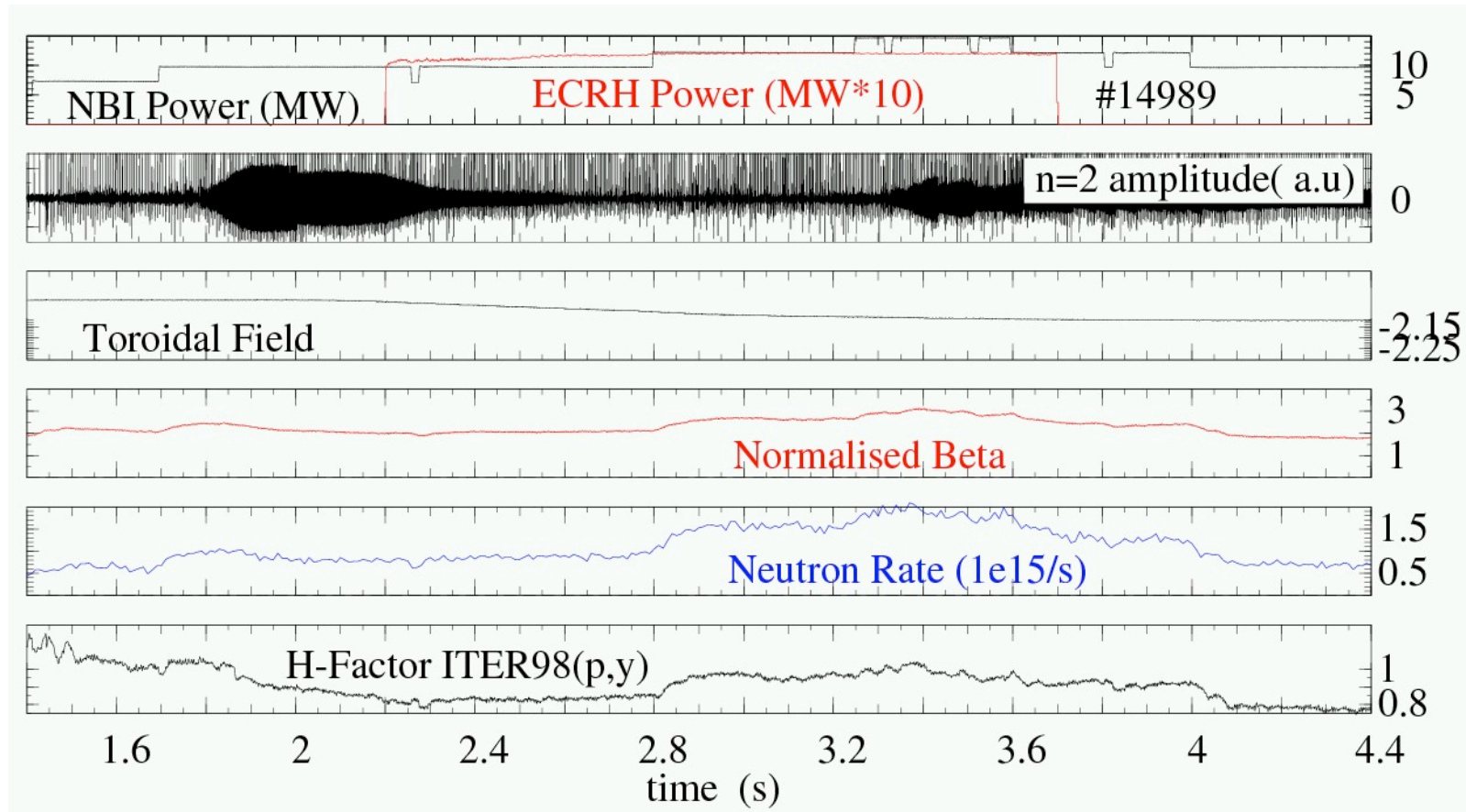
New “phase diagram”

- Stable and unstable fixed points corresponding to saturated island sizes



$$\eta_{\text{aux}} D_{\text{aux}} > \frac{1}{4} \frac{(D_{nc})^2}{(-\Delta' \rho_s)},$$

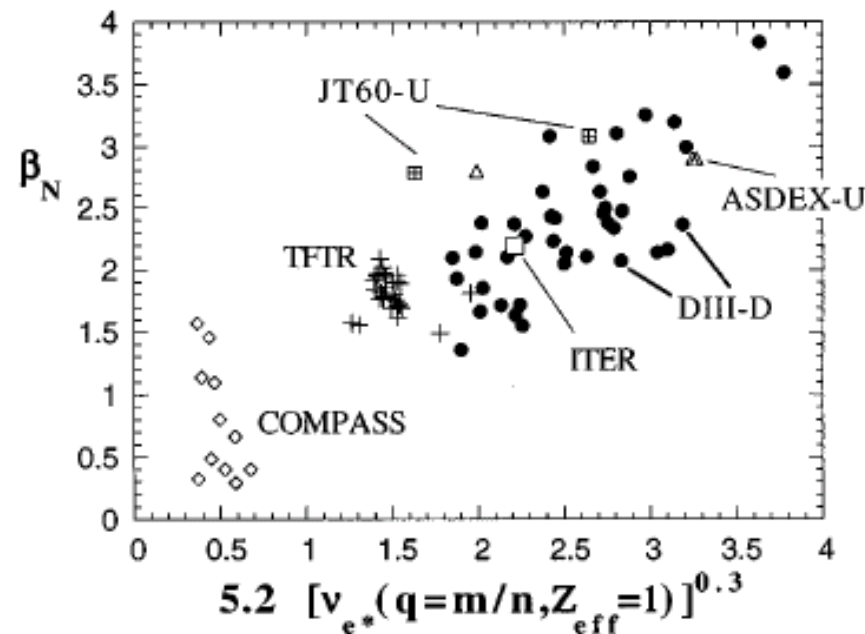
Condition for complete stabilization



Complete stabilization of a 2/1 NTM in ASDEX-U

Implications for ITER

- Seed island size ~ 5 to 6 cms
- Saturated island size can be about 60 cms limiting $\beta_N \sim 2.2$
- Growth time - 30 s to reach 30 cms & about 150 s to reach 60 cms
- Based on modeling and extrapolation from experiments simulating the ITER parametric regime



Local Heating Effects

$$\delta J_{\parallel} = \frac{3}{2} \frac{\delta T_e}{T_{eo}} J_{\parallel o}, \quad \text{helically resonant temperature variations}$$

$$0.82 \frac{dw}{dt} = \frac{1}{\tau_r} \left(\Delta' \rho_s + \frac{D_{nc}}{w} - w D_{\text{heat}} \right),$$

$$D_{\text{heat}} = \frac{16}{5\pi} \frac{q_s}{q'_s} \frac{R\mu_o J_{\parallel o}}{\psi'_s} \frac{S_o \rho_s^2}{n T_e \chi_{\perp}}$$

$$w_{\text{sat},H} = \frac{D_{nc}}{-\Delta' \rho_s} \frac{2}{1 + \sqrt{1 + Y}},$$

Demonstrated in TEXTOR – complete stabilization of 2/1 mode

E. Westerhof et al, NF 47 (2007) 85

Sen, Kaw and Chandra - IAEA, '98 – NF 2000

- ECRH scheme - self-consistent bootstrap currents created by the driven pressure gradients within the island can provide additional stabilization.

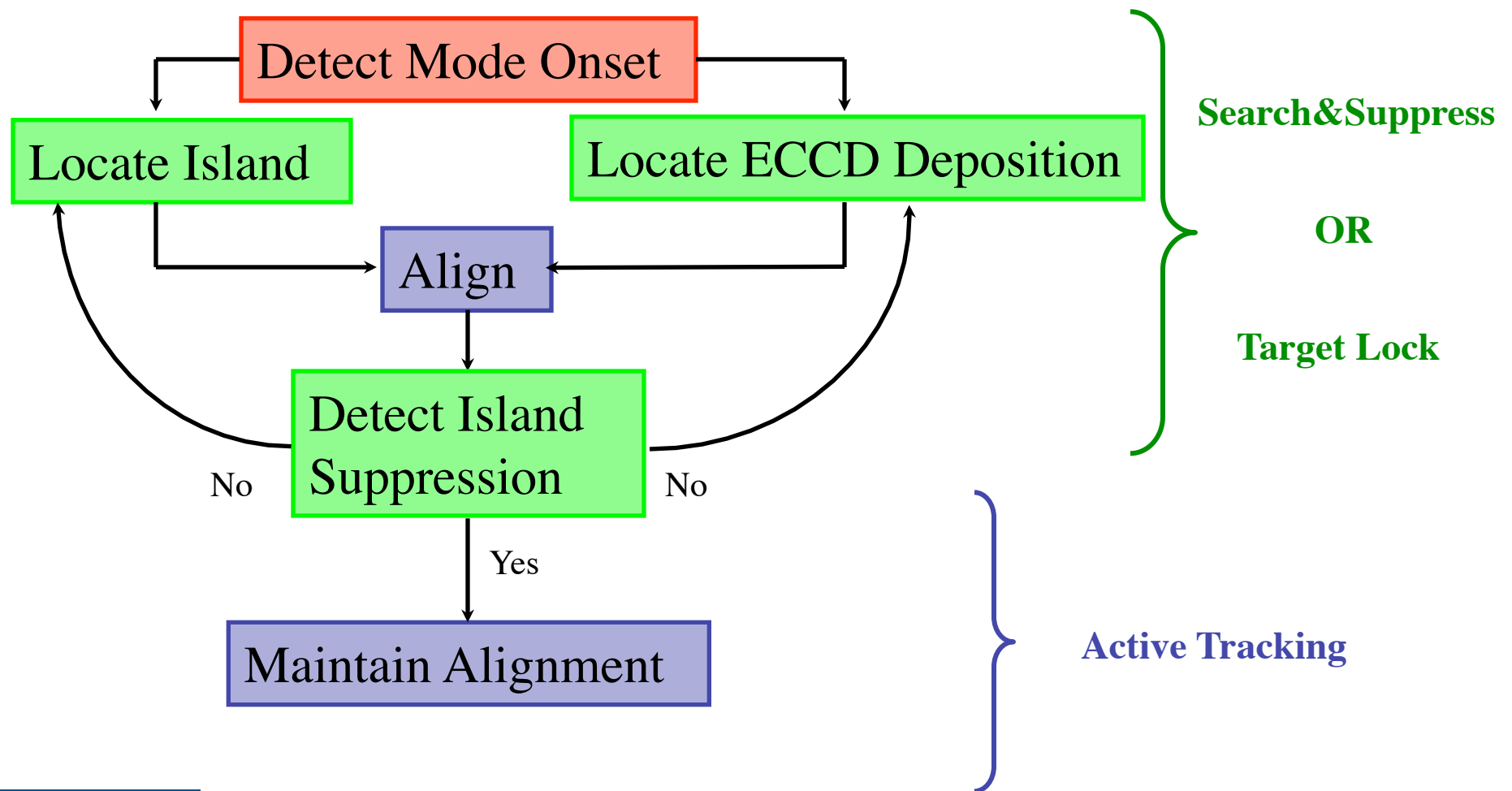
$$0.82 \frac{dw}{dt} = \frac{1}{\tau_r} \left(\Delta' \rho_s + \frac{D_{nc}}{w} - w D_{heat} - w D_{bs} \right) \quad D_{bs} = 0.14 \sqrt{\epsilon} \frac{\mu_o \rho_s^2 R^2}{\psi_s'^2} \frac{q_s}{q_s'} \frac{S_{T0}}{\chi_{\perp}} \frac{\iota_o''}{\iota_o'}$$

Asymmetry in the island shape makes these currents important

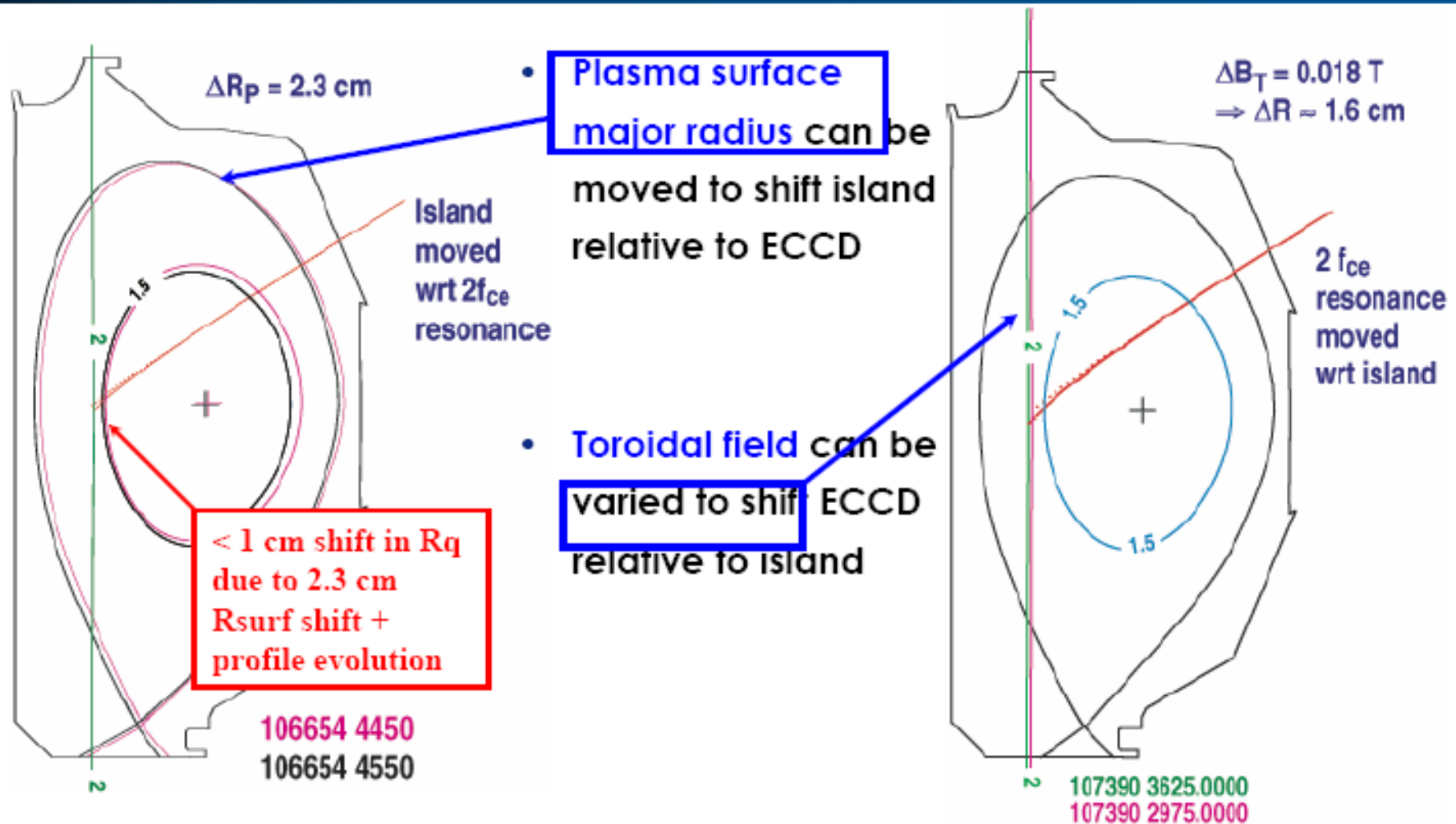
- Similar currents can arise from deposition of density or momentum within the island e.g. through neutral beams - new stabilization scheme proposed
- **Feedback suppression of NTMs using modulated neutral beams**
- **Beam power and energy requirements are quite realistic and achievable.**

A. Sen, D. Chandra and P.Kaw, Nucl. Fus. 40 (2000) 707

NTM Control Requires Achieving and Sustaining Dynamic Island/ECCD Alignment

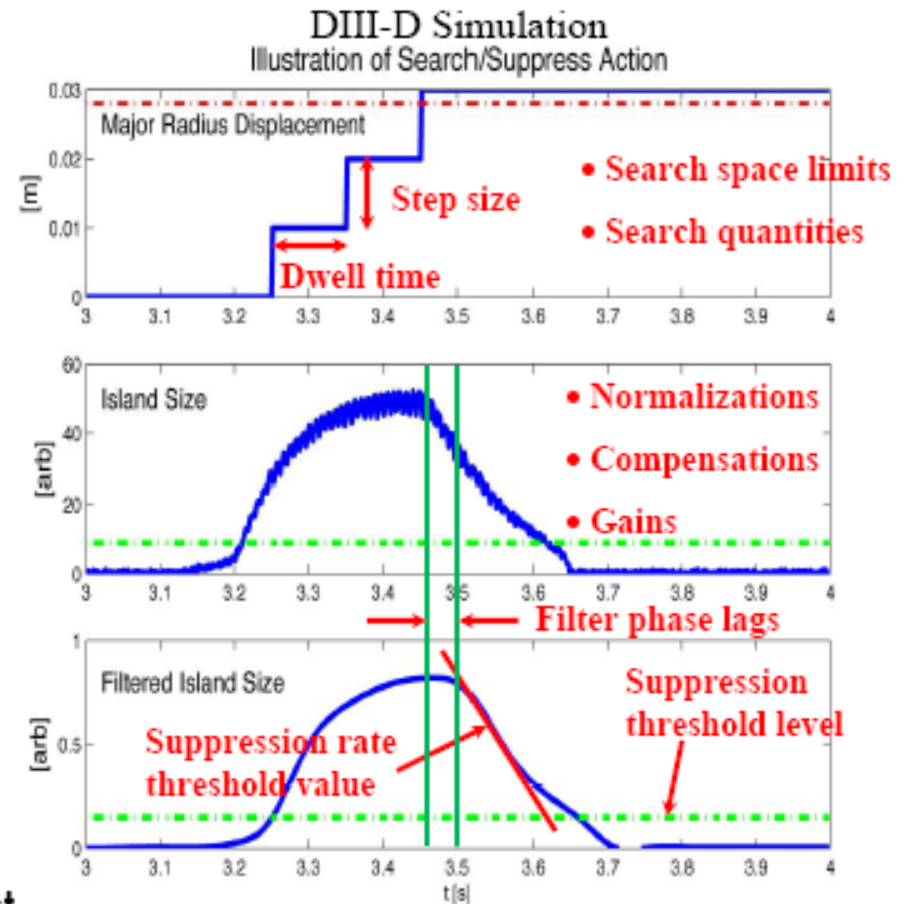


Actuators: Variation of Plasma Position or Toroidal Field Are Used to Regulate Alignment



“Search and Suppress” Algorithm Uses Island Response to Detect Island/ECCD Alignment

- Uncertainty in locations of both island and ECCD comparable to alignment accuracy required (~ 1 cm) \Rightarrow need systematic search
- “Search and Suppress” algorithm:
 - Vary alignment in steps (e.g. plasma major radius ΔR or toroidal field ΔB_T)
 - Dwell for specified time to measure island response
 - Freeze if island suppressed
- Adjustable feedback parameters include filters, compensation for plasma motion and rotation
- Actuator limits prevent plasma-limiter contact

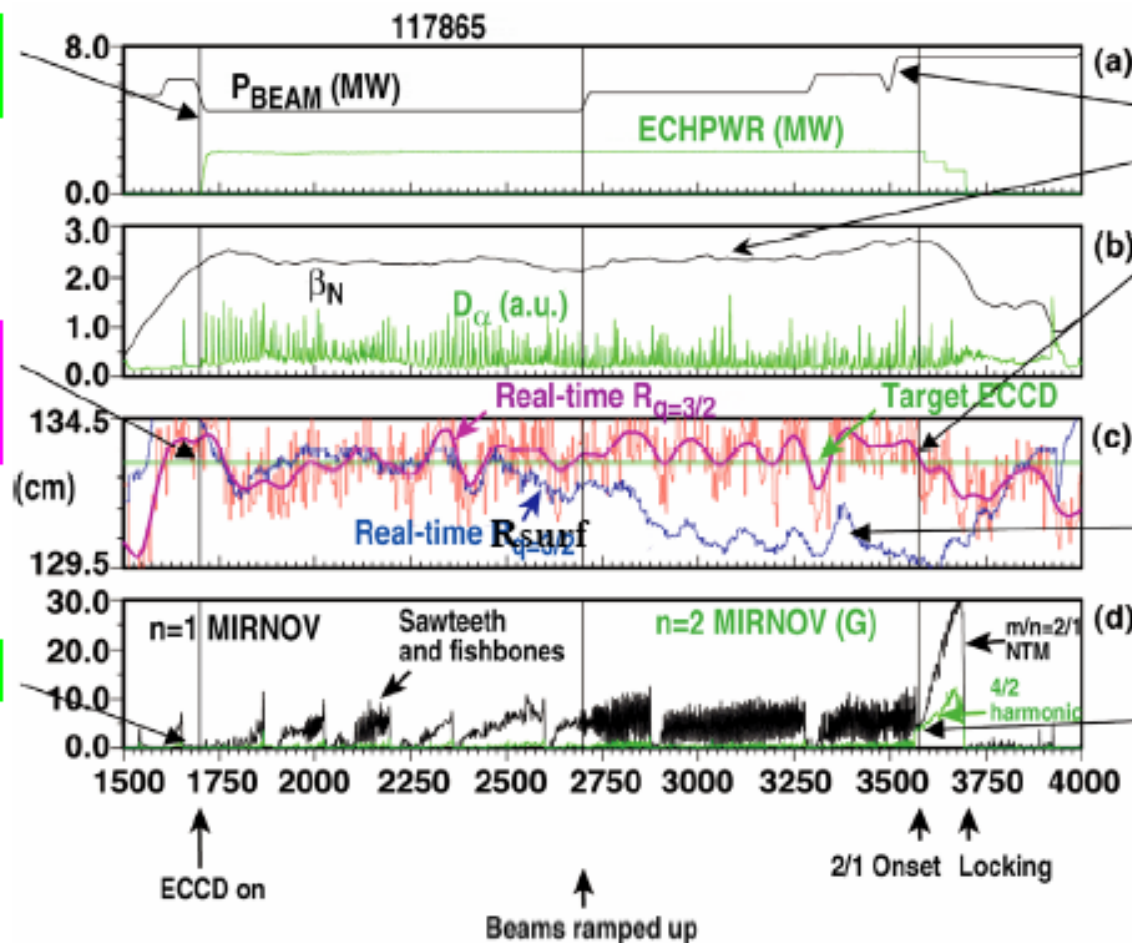


Active Tracking of q-Surface Motion Enables Preemptive NTM Suppression

ECCD and control enabled

ECCD initially ~ aligned with $q=3/2$ surface

No NTM initially



Beam power and β_N are increased...

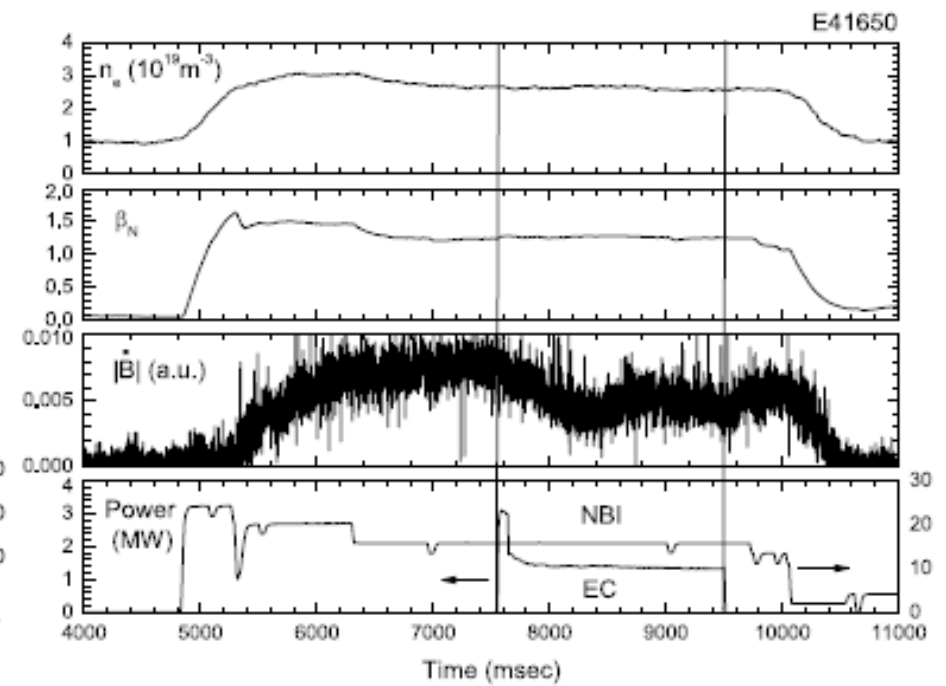
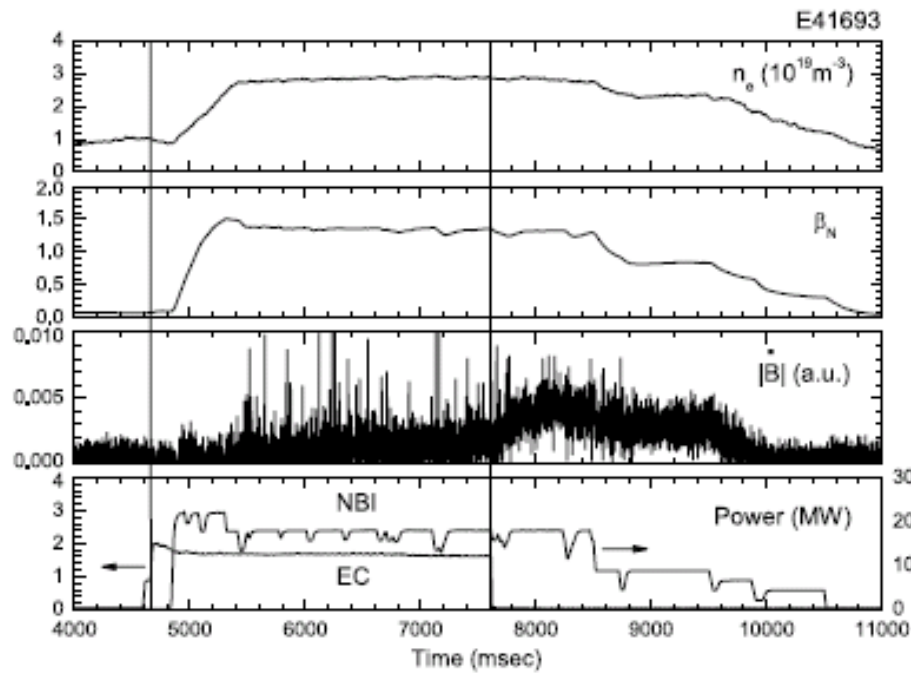
...alignment is maintained with realtime $q=3/2$ surface reconstruction...

...by moving plasma major radius rigidly

3/2 NTM suppression sustained

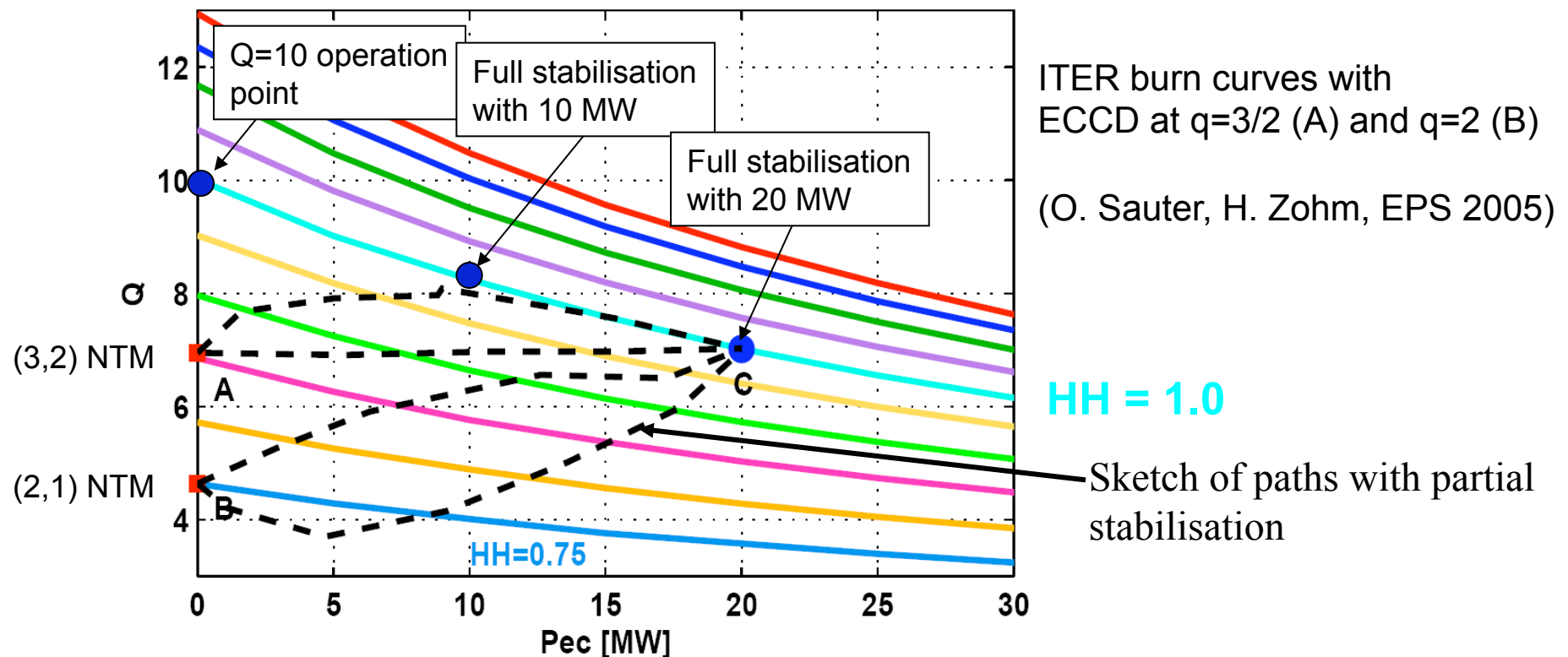


D. Humphreys, R. La Haye



Advantage of early application of ECCD in JT60-U

ITER NTMs stabilisation goals

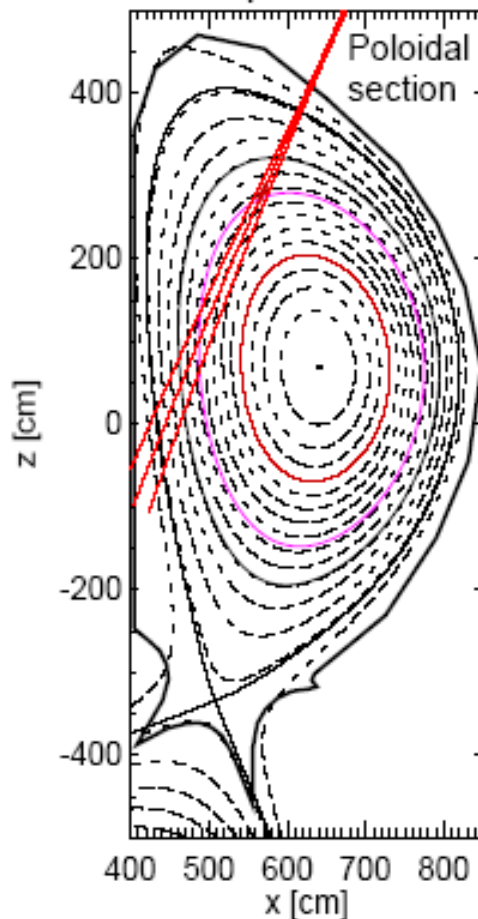


Impact on Q in case of continuous stabilisation (worst case):

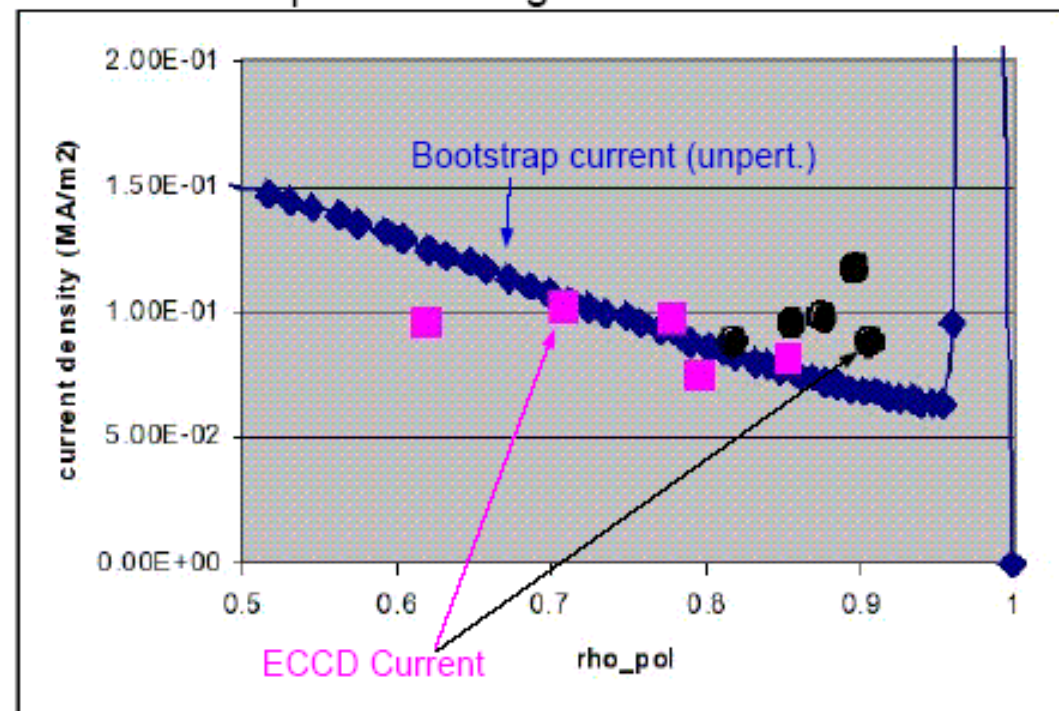
- Q drops from 10 to 5 for a (2,1) NTM and from 10 to 7 for (3,2) NTM
- with 20 MW needed for stabilisation, Q recovers to 7, with 10 MW to $Q > 8$
- note: if NTMs occur only occasionally, impact of ECCD on Q is small

Active NTM stabilisation in ITER

- Upper ECRH system for active stabilisation of (3,2) and (2,1) islands under development
- Current deposition calculated by means of the TORBEAM code [Poli et al., CPC 1999]

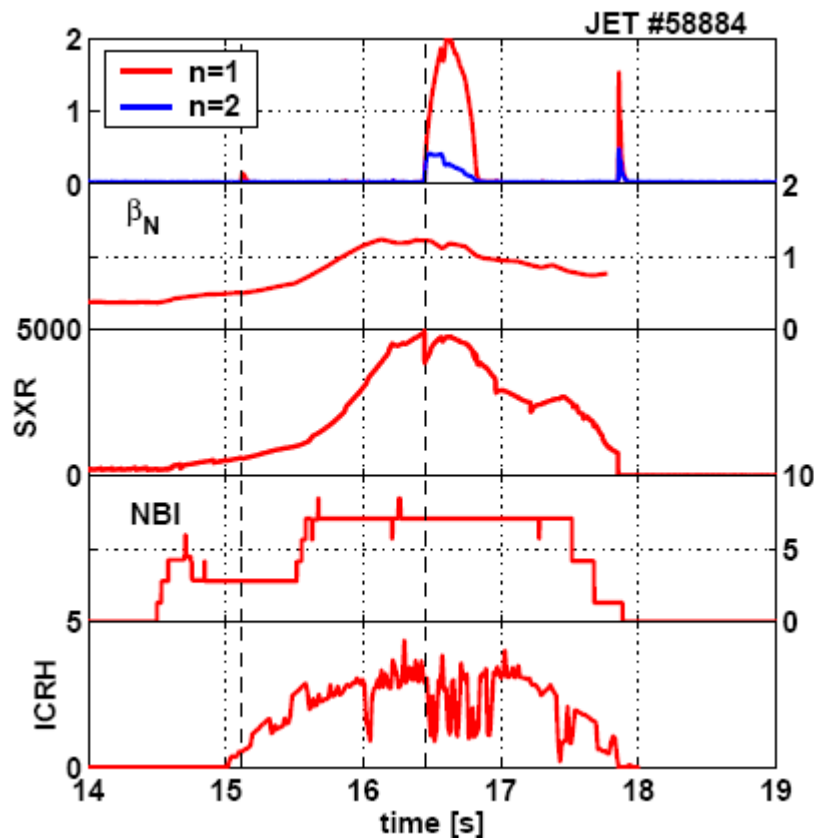


- Driven current smaller than the missing bootstrap current for the present design

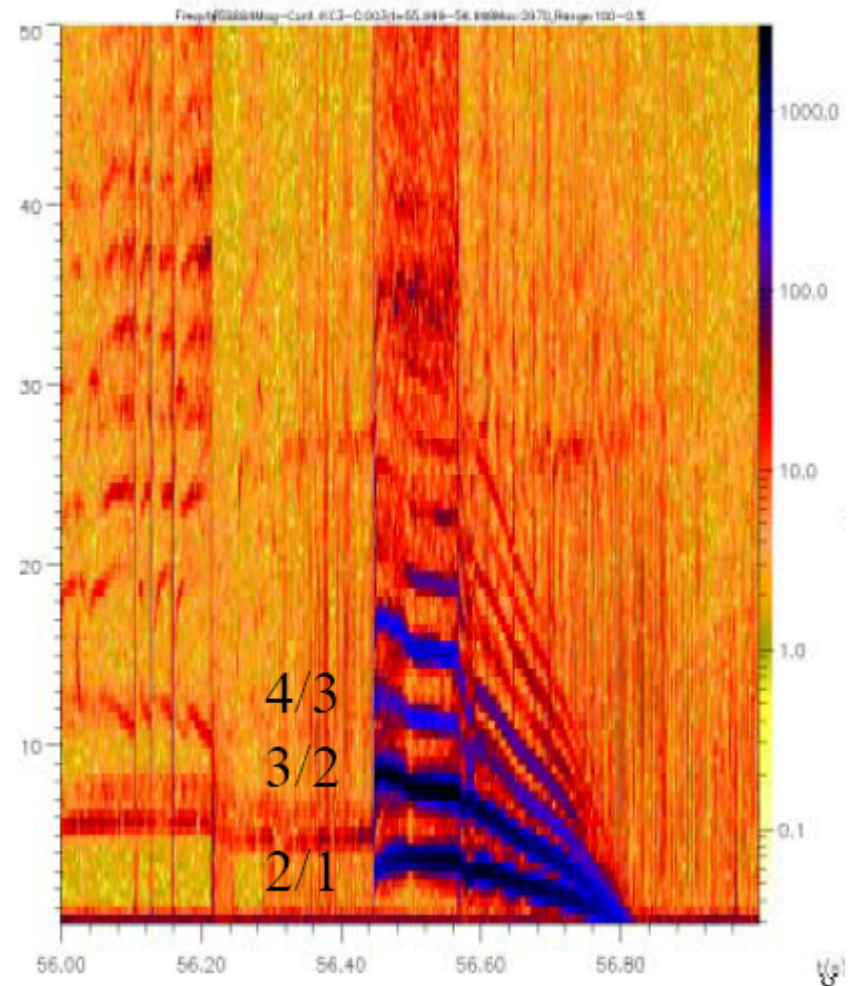


[Zohm, Poli et al., EC13 (2004)]

Importance of trigger mechanism (1)



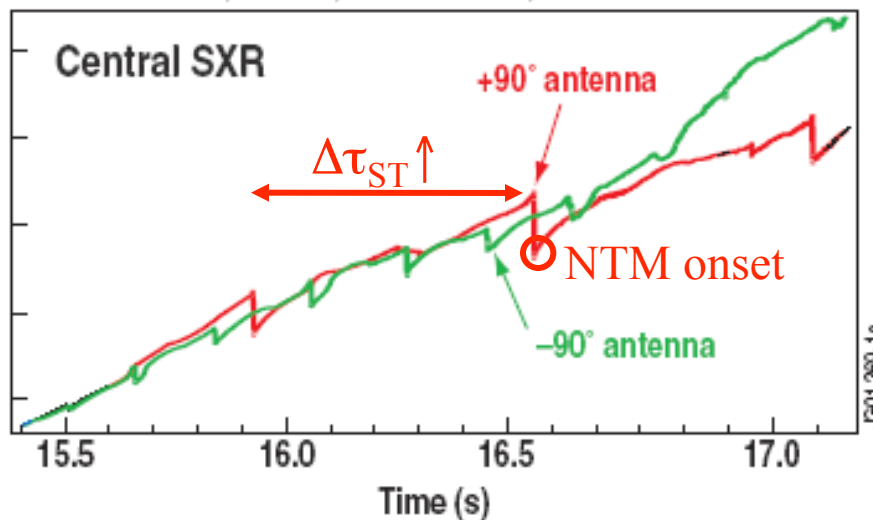
At sawtooth crash, many modes can be triggered



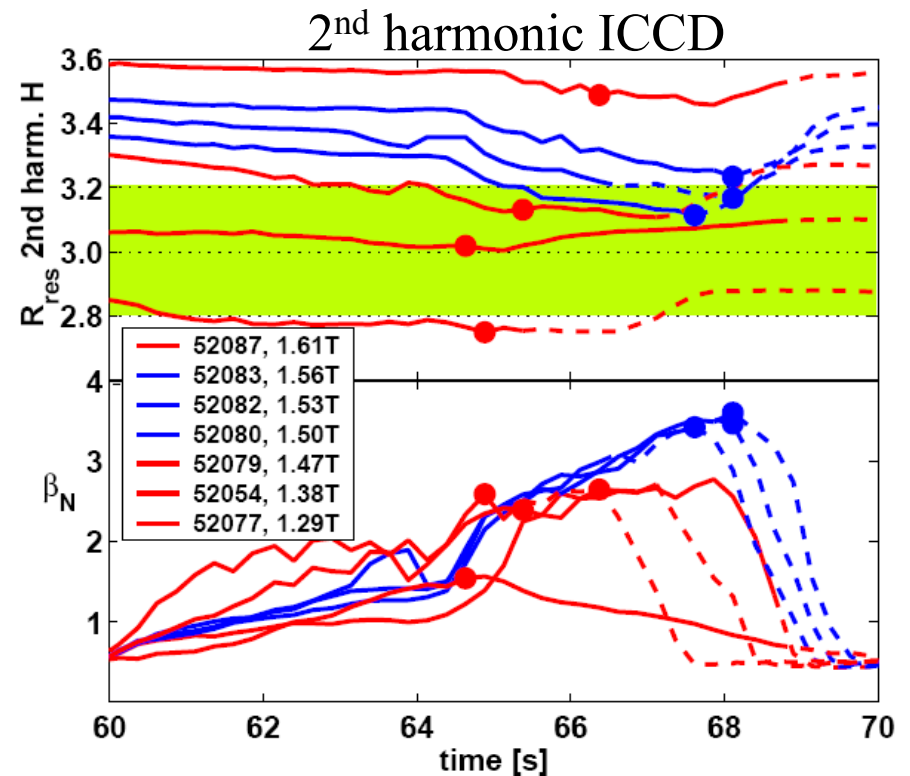
Importance of trigger mechanism (2)

Controlling sawteeth changes significantly β_{onset}

1st harmonic minority ICRH
2.4 T, 2.4 MA, 4.5 MW ICRF, Same NBI



+90: phase: $\beta_{\text{N, onset}} \approx 1$
-90: No NTM with β_{N} up to 2



Sauter et al, PRL 2002



Can plasma flows help in the avoidance
or control of NTMs?

How can flows affect NTMs?

- Flows can influence both outer layer and inner layer dynamics for resistive modes.
- They can also bring about changes in linear coupling mechanisms such as toroidal coupling between harmonics.
- Past nonlinear studies – mainly numerical – and often limited to simple situations (e.g. poloidal flows, non-self consistent) reveal interesting effects like oscillating islands, distortion in eigenfunctions etc.
- Also some recent analytic work on the effect of flow on the threshold and dynamical properties of magnetic islands which are relevant to NTMs

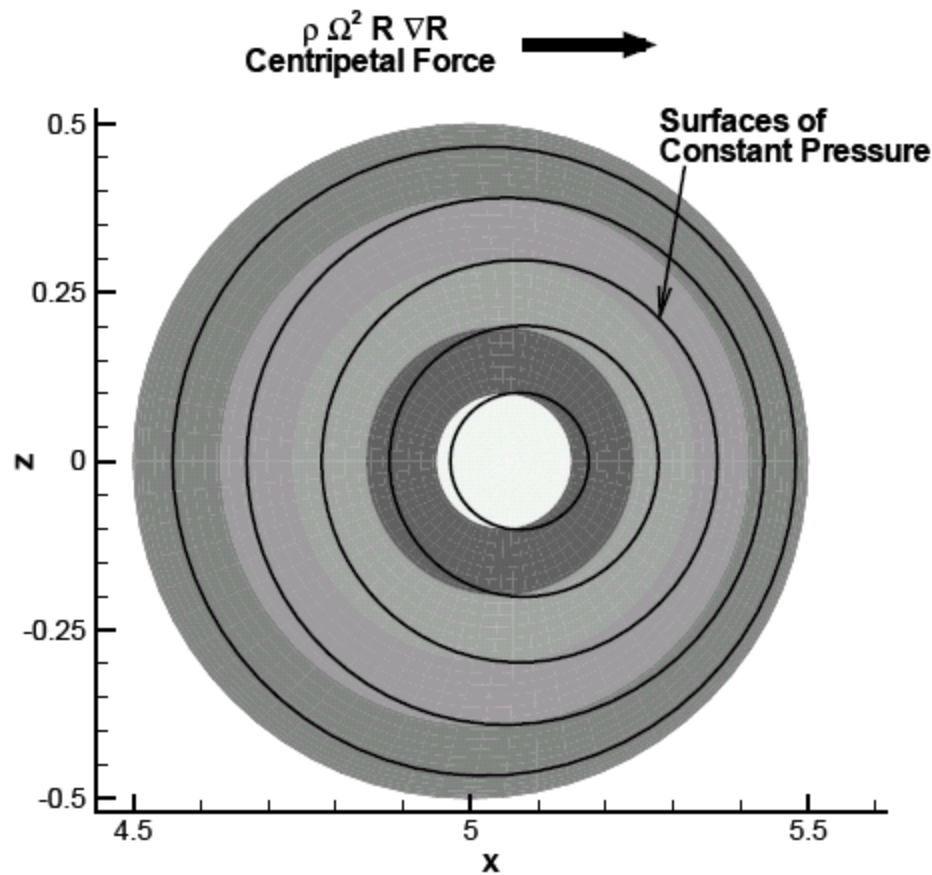
Refs: Chen & Morrison, '92, '94; Bondeson & Persson, '86, '88, '89; M. Chu, '98
Dewar & Persson, '93; Pletzer & Dewar, '90, '91, '94; Smolyakov '93, '95

- Some recent experimental observations

Main points of investigation

- Effects arising from equilibrium modifications
- Influence on toroidal coupling
- Influence on inner layer physics
- Changes in outer layer dynamics
- Nonlinear changes – saturation levels etc.

Equilibrium with toroidal flow

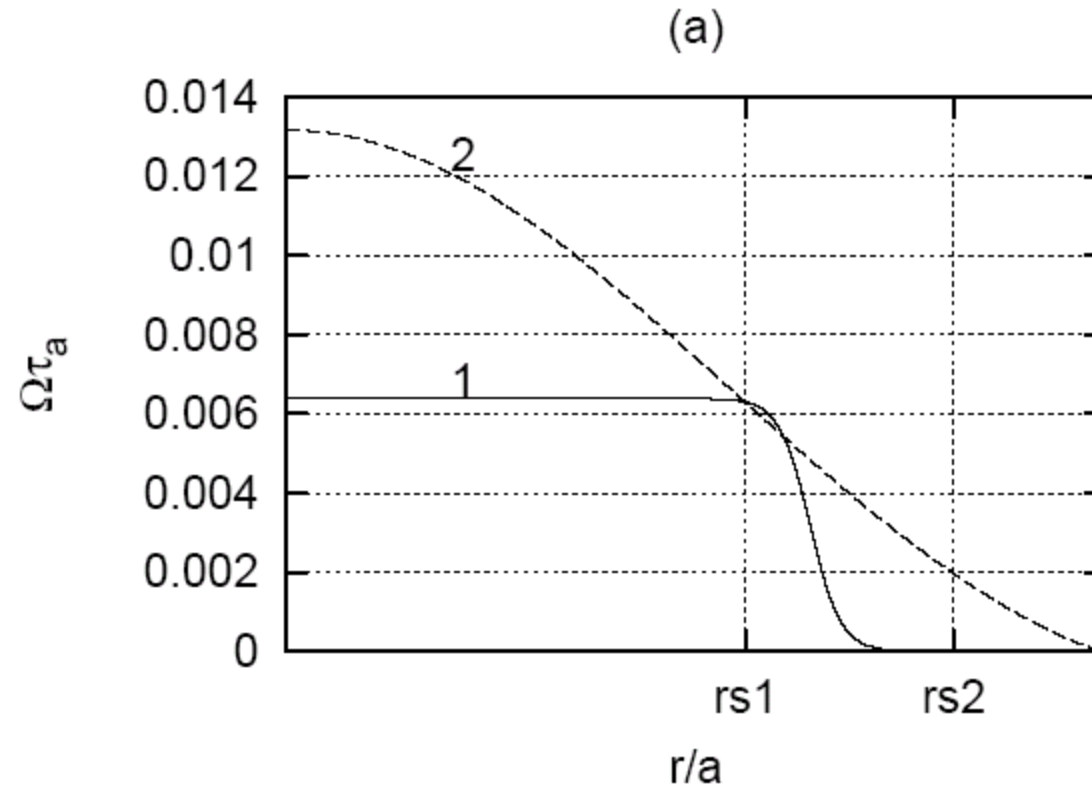


**Constant pressure
Surfaces shifted from
Constant flux surfaces**

$$p_0 = p_{nf}(\psi_0) \exp \left(\frac{\Gamma}{2} M_s^2(\psi_0) (\hat{R}^2 - \hat{R}_{axis}^2) \right)$$

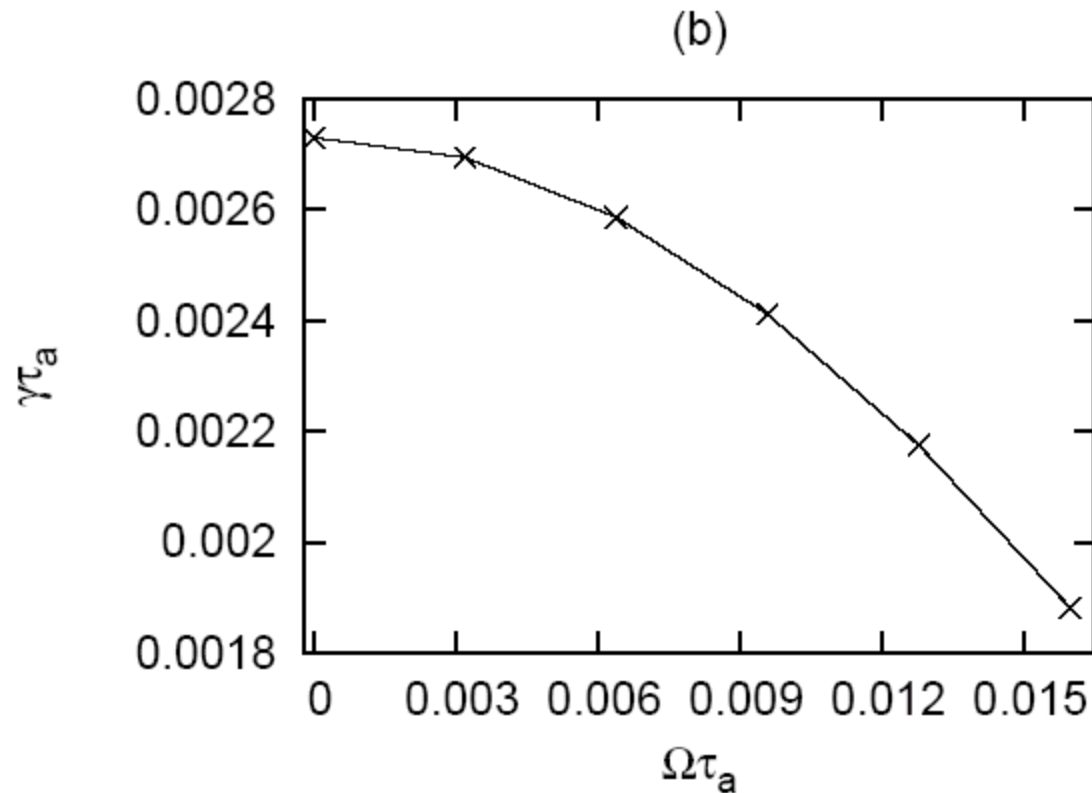
**Maschke & Perrin, Plasma Phys. 22
(1980) 579**

Toroidal flow profiles



- 1- differential flow
- 2- sheared flow

Reduction of (2,1) resistive TM growth with differential flow



- stabilizing effect due to equilibrium changes
e.g. enhancement of pressure-curvature contribution
- stabilizing effect due to flow induced de-coupling
of rational surfaces

- **Slab or cylinder**

$$\Delta' \Psi_s = -i (\omega - \Omega_s) \tau_L \Psi_s ; \quad \Omega_s = \vec{k} \cdot \vec{V}_0$$

$$\boxed{\gamma = \frac{\Delta'}{\tau_L}} \quad \boxed{\Omega = \Omega_s}$$

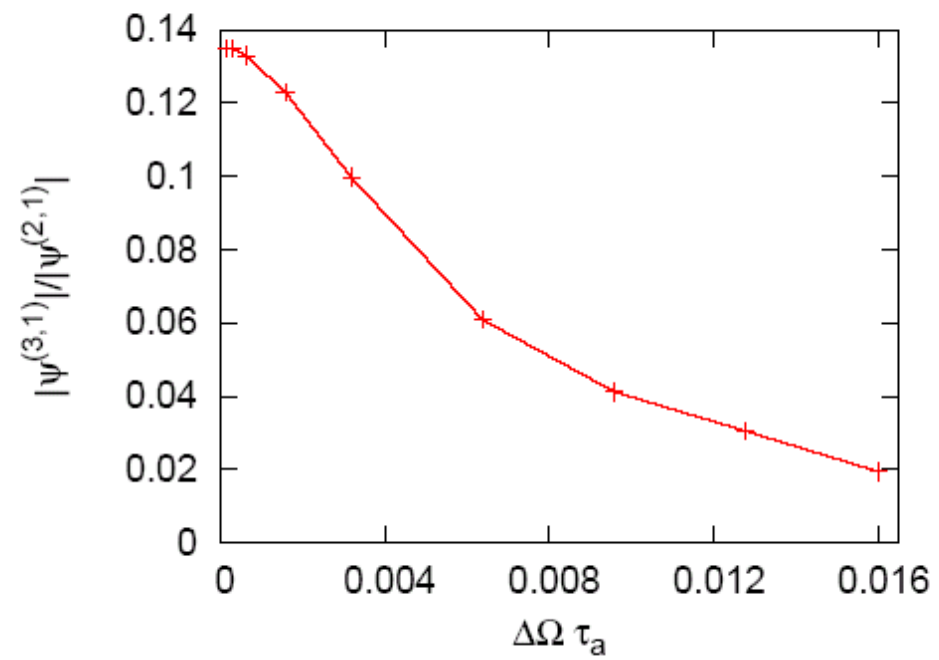
- **Toroidal geometry**

$$\Psi_{\text{large}} = \Delta' \Psi, \quad \text{outer response - } \Delta' \text{ matrix}$$

$$\Delta(\omega) = -i (\omega - \Omega_j) \tau_{Lj} \delta_{ij} \quad \text{inner response}$$

$$\det \begin{bmatrix} \Delta'_{11} - \Delta_{11}(\omega) & \Delta'_{12} \\ \Delta'_{21} & \Delta'_{22} - \Delta_{22}(\omega) \end{bmatrix} = 0. \quad \text{Quadratic equation}$$

Reduced reconnection at the (3,1) surface



- In the presence of finite flow shear the stabilization effect is smaller
- This can be understood and explained quantitatively on the basis of linear slab theory analysis (Chen & Morrison, PF B 2 (1990) 495)

$$\gamma \sim \alpha^{2/5} \Delta'^{4/5} S^{-3/5} \hat{\gamma} \quad \hat{\gamma} = \text{flow correction} \geq 1$$

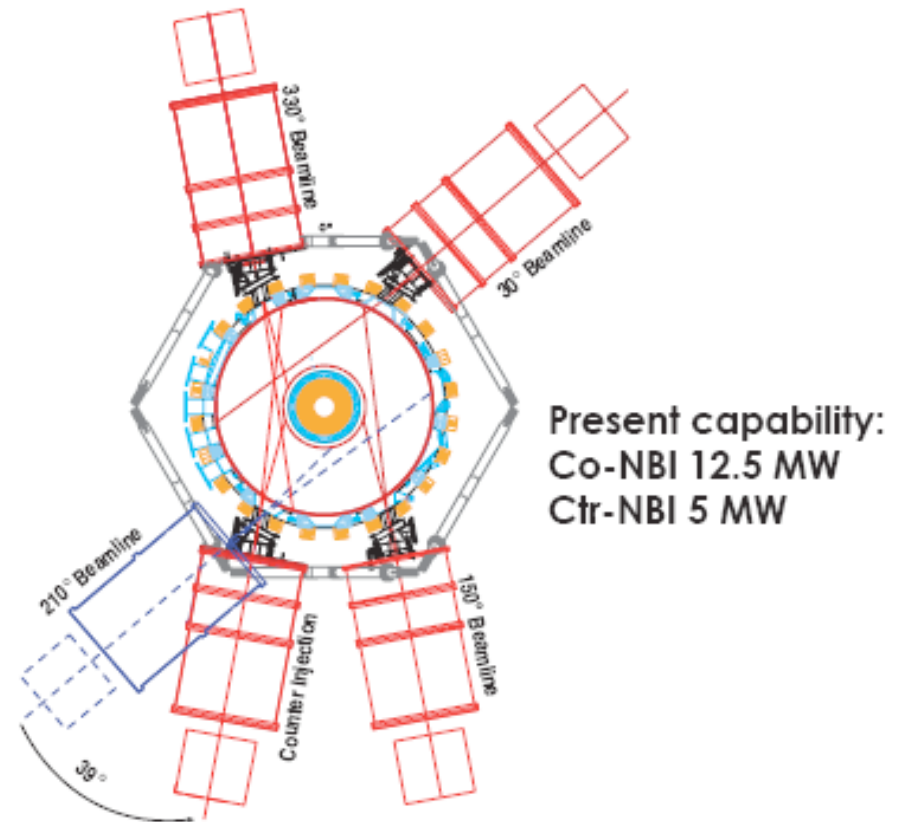
Small flow shear destabilizes the resistive mode through changes in the inner layer dynamics

Recent Experimental Observations

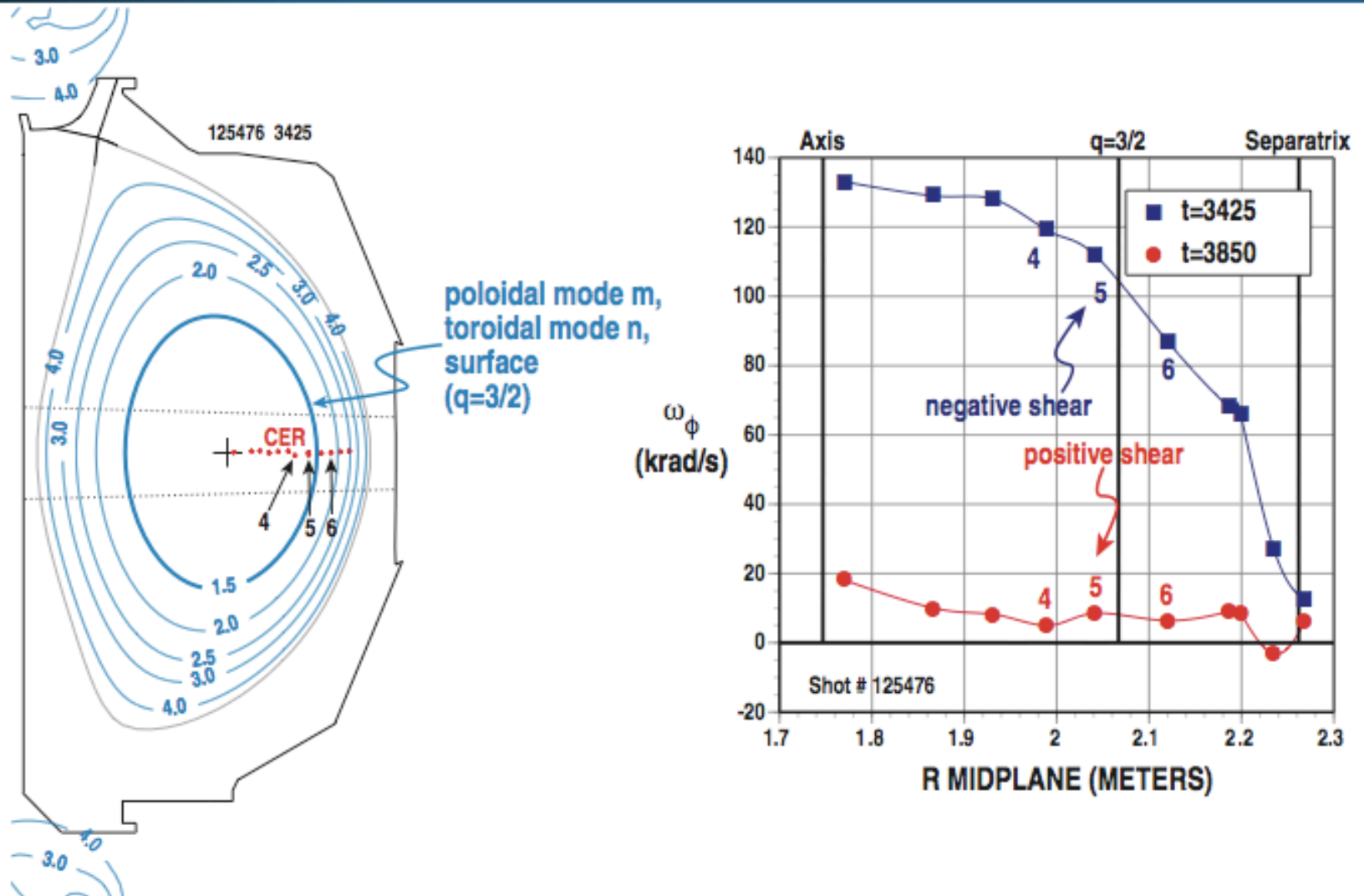
Plan View of DIII-D Tokamak

DIII-D Experiments

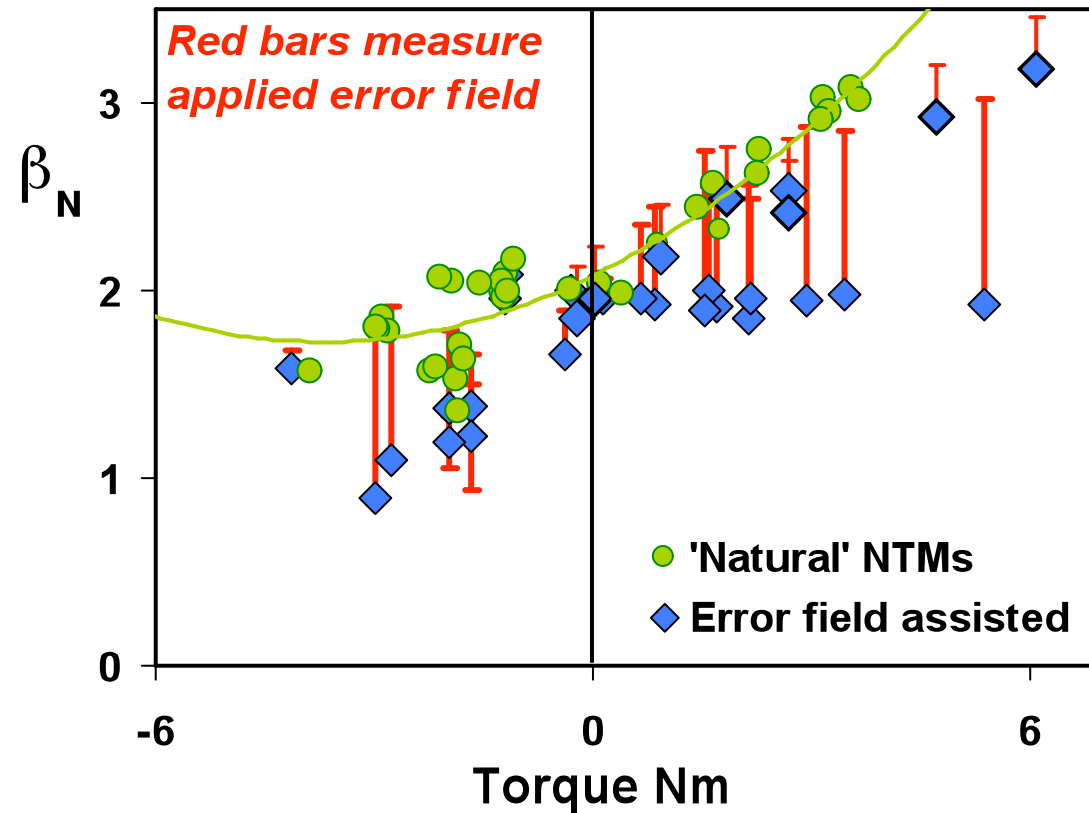
- **Near-toroidal beams inject energy and momentum**
 - ★ net torque varied by ratio of co to counter beams
- **Changes in tearing mode saturated amplitude observed**
- hybrid scenario
- sawteething, ELMy H-mode



Plasma Rotation Measured by Charge Exchange Recombination of CVI Line

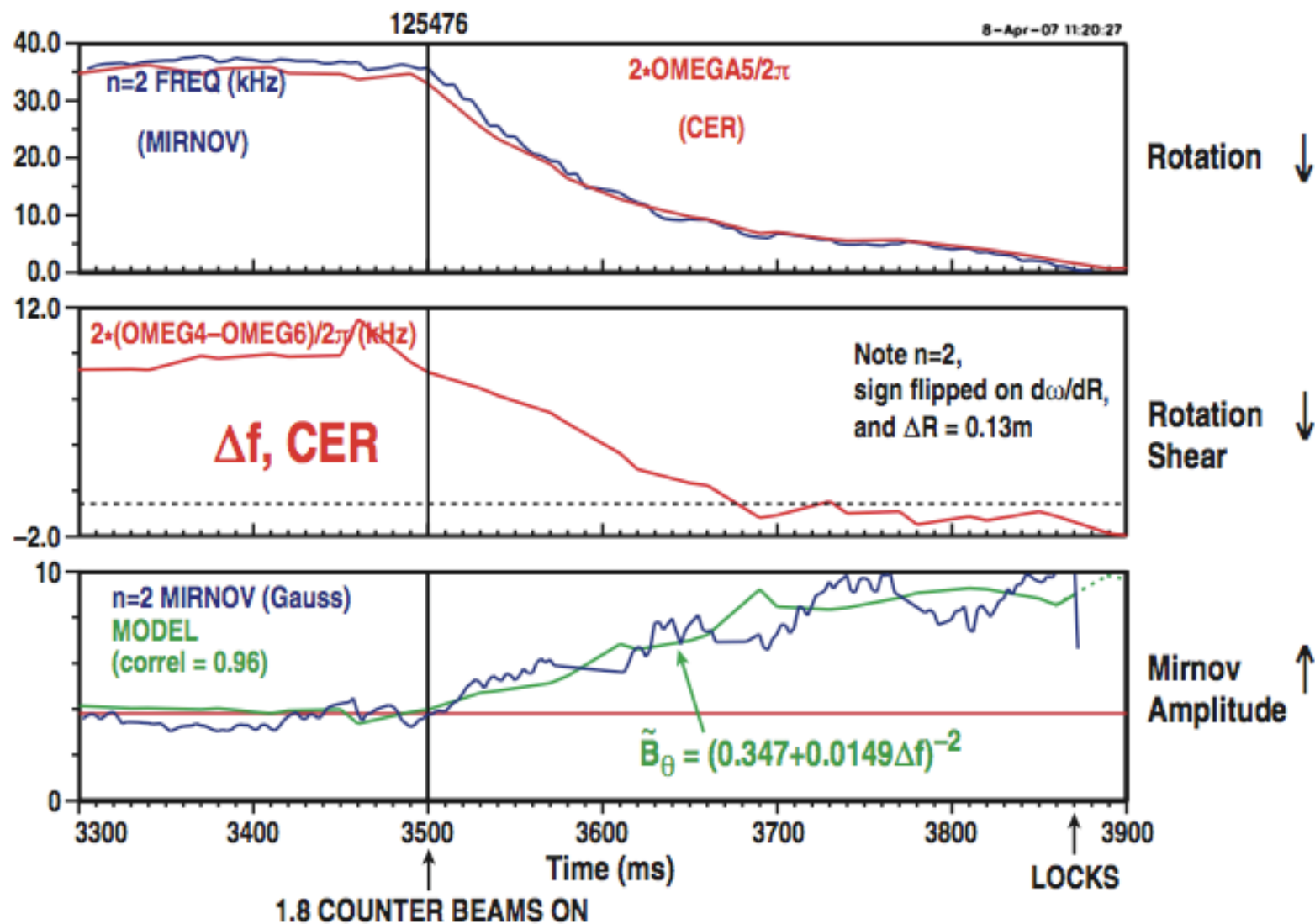


DIII-D

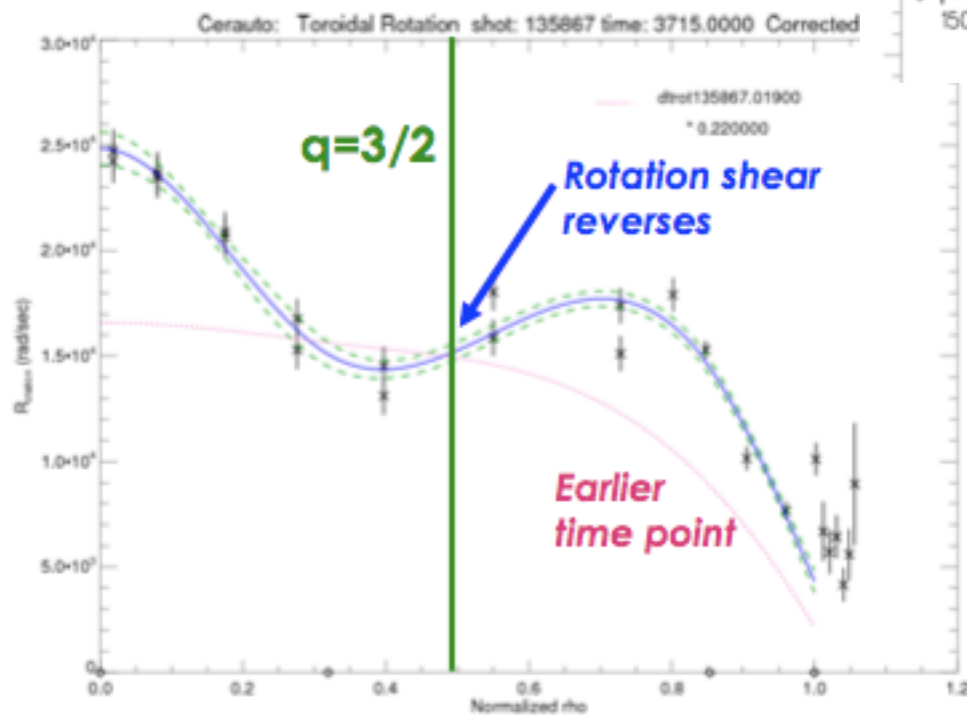
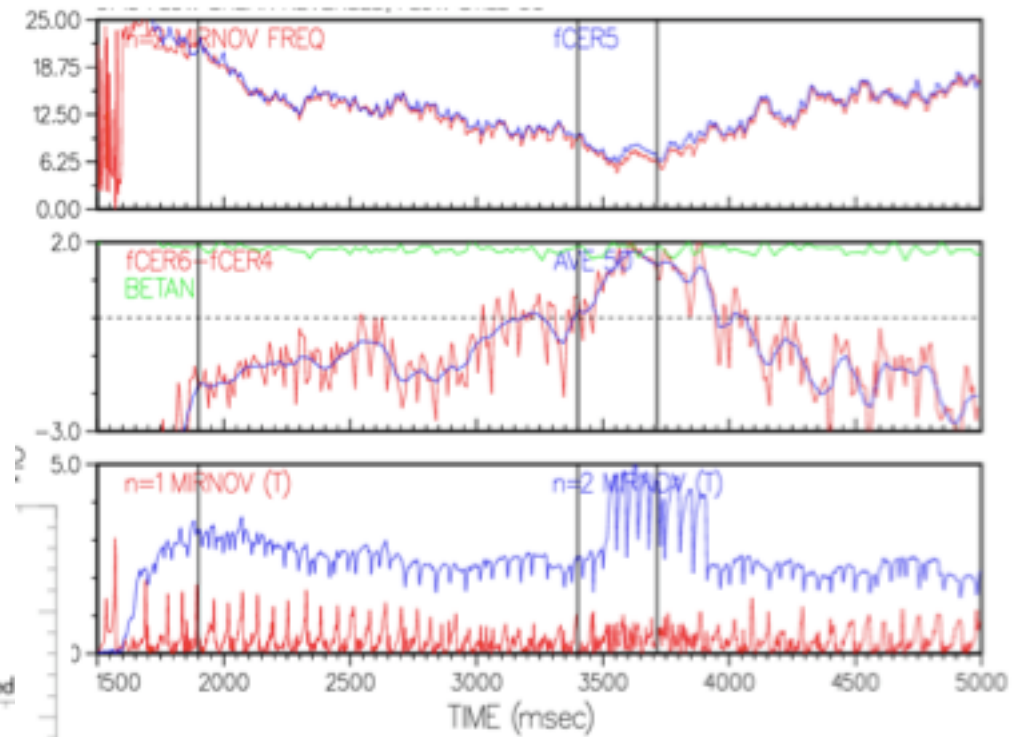


NTM onset has stronger drive (lower β_N) with lower rotation

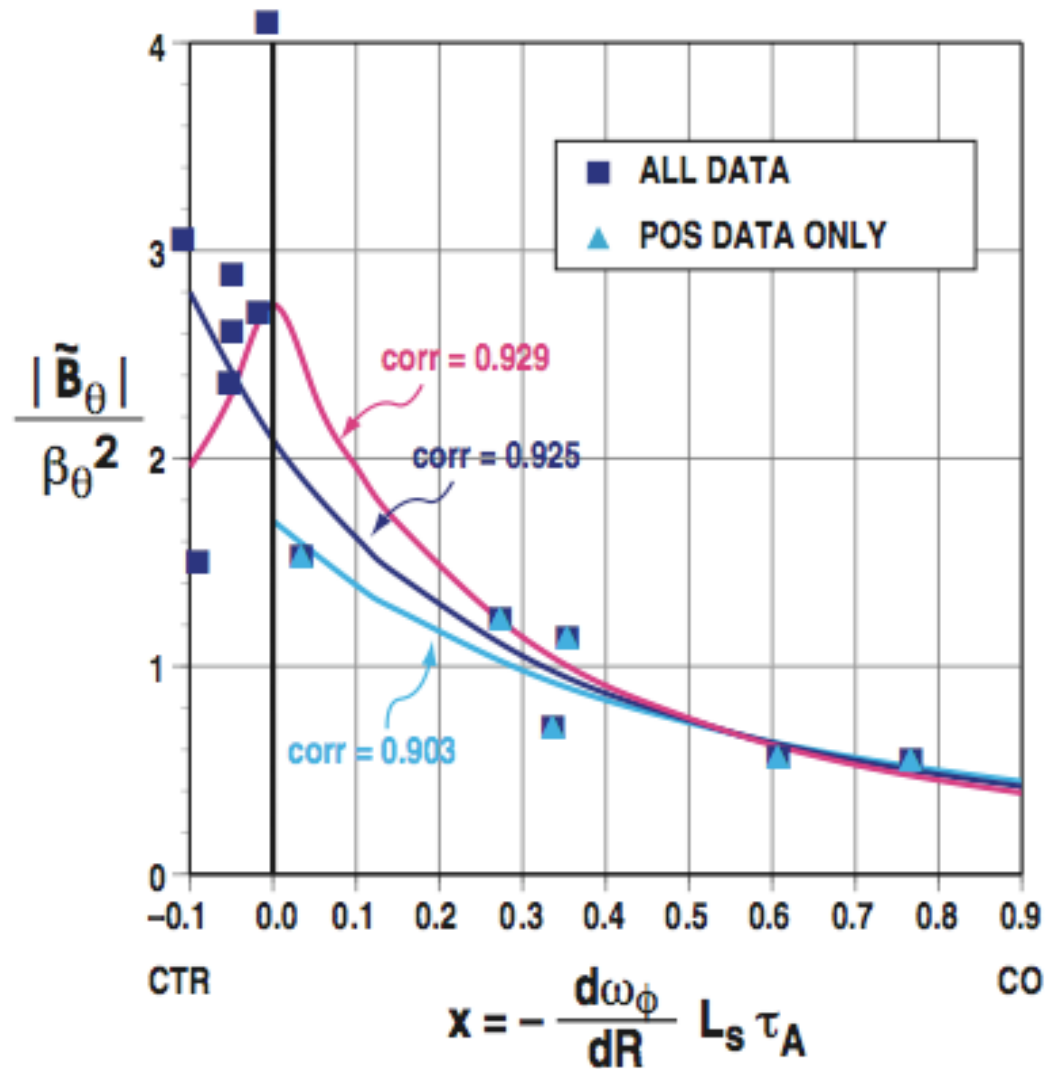
$m/n=3/2$ Hybrid Scenario NTM Bigger with Less Flow Shear



Rotation shear appears to play a crucial role on the dynamics of 3/2 NTMs. Sign of shear?



Reduction of 3/2 island size with increasing flow shear in Sawtoothing H mode discharges (DIII-D)



Experimental exploration of Rotation Effects on NTMs

- Similar observations have been made on other tokamaks e.g. JET, AUG, NSTX
- Joint experiments involving a number of machines and analysis involving multi-machine data currently underway as part of ITPA MHD Stability Topical Group initiative
- Story so far.....
 - definite evidence of shear flow effect on NTM onset and saturation
 - some subtle differences between 2/1 and 3/2 behavior
 - dependence on sign of shear still an unresolved issue
 - Underlying mechanism?
 - inner layer / outer layer modification
 - linear/nonlinear
 - poloidal/toroidal
- Good theoretical understanding is lacking

Flow effects on the inner layer dynamics

- Two fluid model
- Flow terms are additional inertial contributions and modify the polarization current term

The generalized Ohm's law

$$\underbrace{\mathbf{E} + \mathbf{v} \wedge \mathbf{B}}_{\text{ideal MHD}} = \underbrace{\eta \mathbf{j}}_{\text{resistive MHD}} + \underbrace{\frac{1}{\epsilon_0 \omega_{pe}^2 (1 + \nu)} \left[\frac{\partial \mathbf{j}}{\partial t} + \nabla \dots \right]}_{\text{electron inertia}} + \underbrace{\sum \frac{q_\alpha}{m_\alpha} (\nabla p_\alpha + \nabla \cdot \Pi_\alpha)}_{\text{closures}},$$

Modified Rutherford Equation for NTMs

$$0.41 \frac{\partial W}{\partial t} = D_R^{neo} \left[\overset{\text{Pressure/curvature}}{\frac{\Delta'_c}{4} - \frac{19.5 \epsilon L_s^2}{W B_0^2} \frac{\partial p(0)}{\partial \psi}} + \overset{\text{Neoclassical current}}{0.58 \frac{\sqrt{\epsilon} \beta_\theta \frac{L_q}{L_p}}{W} \frac{W^2}{W^2 + W_\chi^2}} \right. \\ \left. + \frac{L_s^2}{k_\theta^2 v_A^2} \left(2.3 \frac{(\omega - \omega_E)(\omega - \omega_E - \omega_*)}{W^3} + 0.24 \frac{\omega_E'^2}{W} \right) - 0.77 \frac{L_s}{k_\theta v_A} \frac{\bar{v}_{||0}}{v_A} \frac{\omega_E'}{W} \right]$$

↗
↖
↗

differential flow
flow shear

polarization current

$$W_{sat} \sim \frac{\beta_{\theta}}{(-\Delta')} \frac{L_q}{L_p}$$

Experimental evidence suggests that β_{θ} and $\frac{L_q}{L_p}$ do not change significantly with changing flow

So something could be happening to Δ'

What is the dependence of Δ' on flow shear?

Heuristic Model

- rotation shear provides additional drive to alter field line pitch
- can increase or decrease field line bending energy and thereby change Δ'

$$\Delta' r_s = C_1 + C_2 \left(-\frac{d\omega_\phi}{dR} L_s \tau_A \right)$$

Simplest empirical form

Can one see this scaling from theoretical models ?

- RMHD code
- Newcomb eqn. with flow

Code NEAR

- NEAR – fully nonlinear toroidal code that solves a set of RMHD eqns. and contains neoclassical viscous terms as well as toroidal flow
- Has been benchmarked to reproduce linear (classical) tearing mode dynamics as well as nonlinear saturated behaviour
- It has also reproduced well the dynamics of NTMs – e.g. threshold dynamics, scaling with β_p , island saturation etc.

(D. Chandra, A. Sen, P. Kaw, M.P. Bora and S. Kruger,
Nuc. Fus. 45 (2005) 524)

- Have examined the scaling of Δ' with toroidal flow shear for classical tearing modes

Model Equations (GRMHD)

$$\frac{\partial \Psi}{\partial t} - (\mathbf{b}_0 + \mathbf{b}_1) \cdot \nabla \phi_1 - \mathbf{b}_1 \cdot \nabla \phi_0 = \eta \tilde{J}_{\parallel} - \frac{1}{ne} \mathbf{b}_0 \cdot \nabla \cdot \Pi_e$$

bootstrap current

$$\begin{aligned} \nabla \cdot \left(\frac{\rho}{B_0} \frac{d}{dt} \frac{\nabla \phi_1}{B_0} \right) + (\mathbf{V}_1 \cdot \nabla) \left(\nabla \cdot \left(\frac{\rho}{B_0} \frac{\nabla \phi_0}{B_0} \right) \right) &= (\mathbf{B}_0 \cdot \nabla) \frac{\tilde{J}_{\parallel}}{B_0} + (\mathbf{B}_1 \cdot \nabla) \frac{J_{T\parallel}}{B_0} \\ &\quad + \nabla \cdot \frac{\mathbf{B}_0 \times \nabla p_1}{B_0^2} + \nabla \cdot \frac{\mathbf{B}_0}{B_0^2} \times \nabla \cdot \Pi \end{aligned}$$

GGJ

$$\frac{dp_1}{dt} + (\mathbf{V}_1 \cdot \nabla) p_0 + \Gamma p_T \nabla \cdot \mathbf{V}_1 = (\Gamma - 1) \left[\eta J_{T\parallel}^2 - \Pi : \nabla \mathbf{V} + \Pi_e : \nabla \frac{\mathbf{J}}{ne} - \nabla \cdot \mathbf{q} \right]$$

heat flow

$$\rho \frac{d\tilde{V}_{\parallel}}{dt} + (\mathbf{V}_1 \cdot \nabla) V_{\parallel 0} = -\mathbf{b}_0 \cdot \nabla p_1 - \mathbf{b}_1 \cdot \nabla p_T - \mathbf{b}_0 \cdot \nabla \cdot \Pi$$

$$\frac{d}{dt} = \frac{\partial}{\partial t} + \mathbf{V} \cdot \nabla$$

$$\mathbf{V} = \Omega(\psi)R^2\nabla\zeta + \mathbf{V}_1 = \frac{\mathbf{B}_0 \times \nabla\Phi_0}{B_0^2} + V_{0\parallel}\mathbf{b}_0 + \frac{\mathbf{B}_0 \times \nabla\Phi_1}{B_0^2} + \tilde{V}_{\parallel}\mathbf{b}_T$$

Equilibrium flow

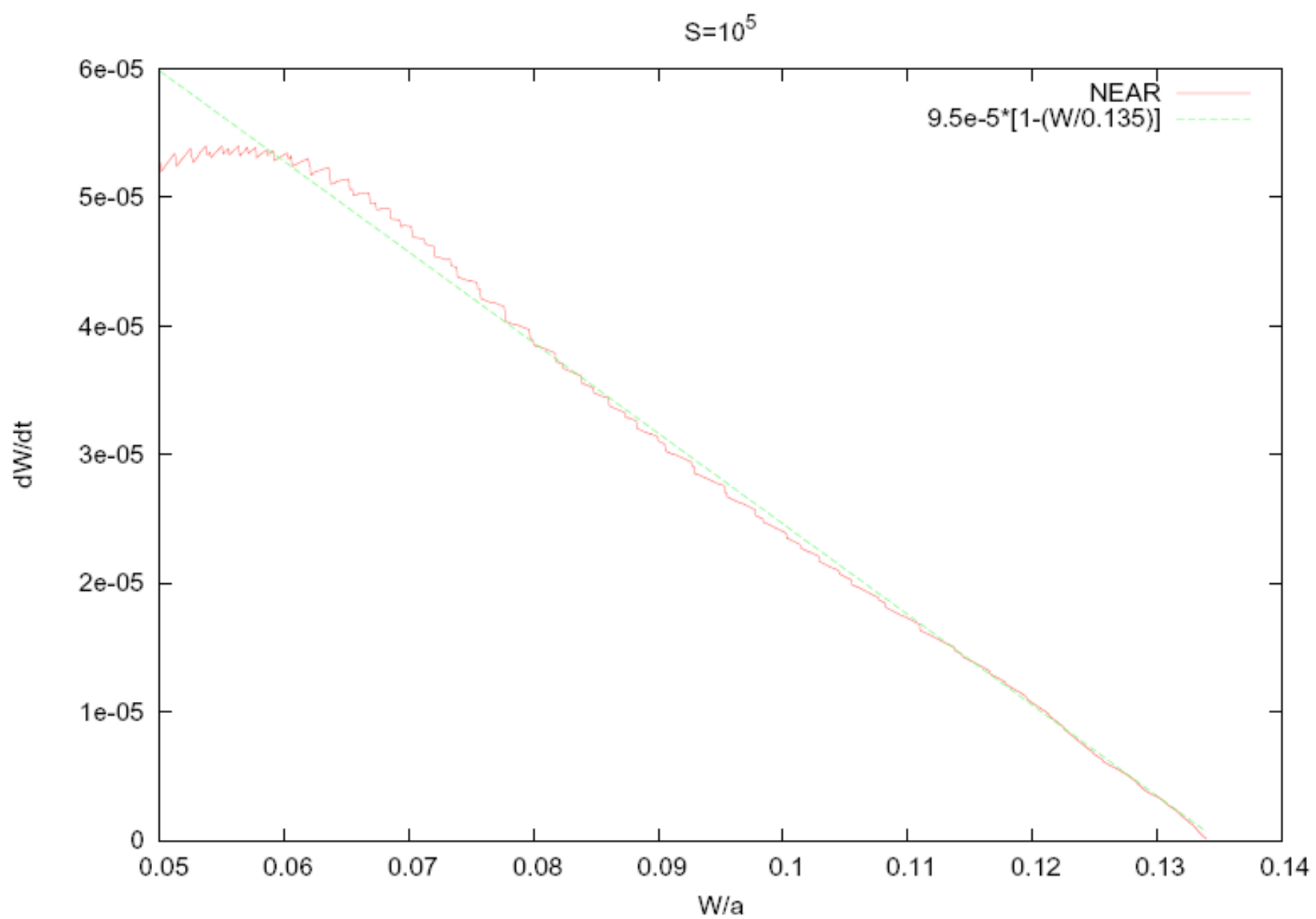
- Neoclassical closure

$$\vec{\nabla} \cdot \Pi_s = \rho_s \mu_s \langle B^2 \rangle \frac{\vec{V}_s \cdot \vec{\nabla} \Theta}{(\vec{B} \cdot \vec{\nabla} \Theta)^2} \vec{\nabla} \Theta,$$

- appropriate for long mean free path limit
- reproduces poloidal flow damping
- gives appropriate perturbed bootstrap current

Numerical simulation

- **GRMHD** eqns solved using code **NEAR** – toroidal initial value code – Fourier decomposition in the poloidal and toroidal directions and central finite differencing in the flux coordinate direction.
- Equilibrium generated from another independent code **TOQ**
- Typical runs are made at **$S \sim 10^5$, low β , sub-Alfvenic flows**
- Linear benchmarking done for classical resistive modes
- For NTMs threshold, island saturation etc. benchmarked in the absence of flows.
- Present study restricted to **sheared toroidal flows**



Determination of Δ'

- Linear growth rate :

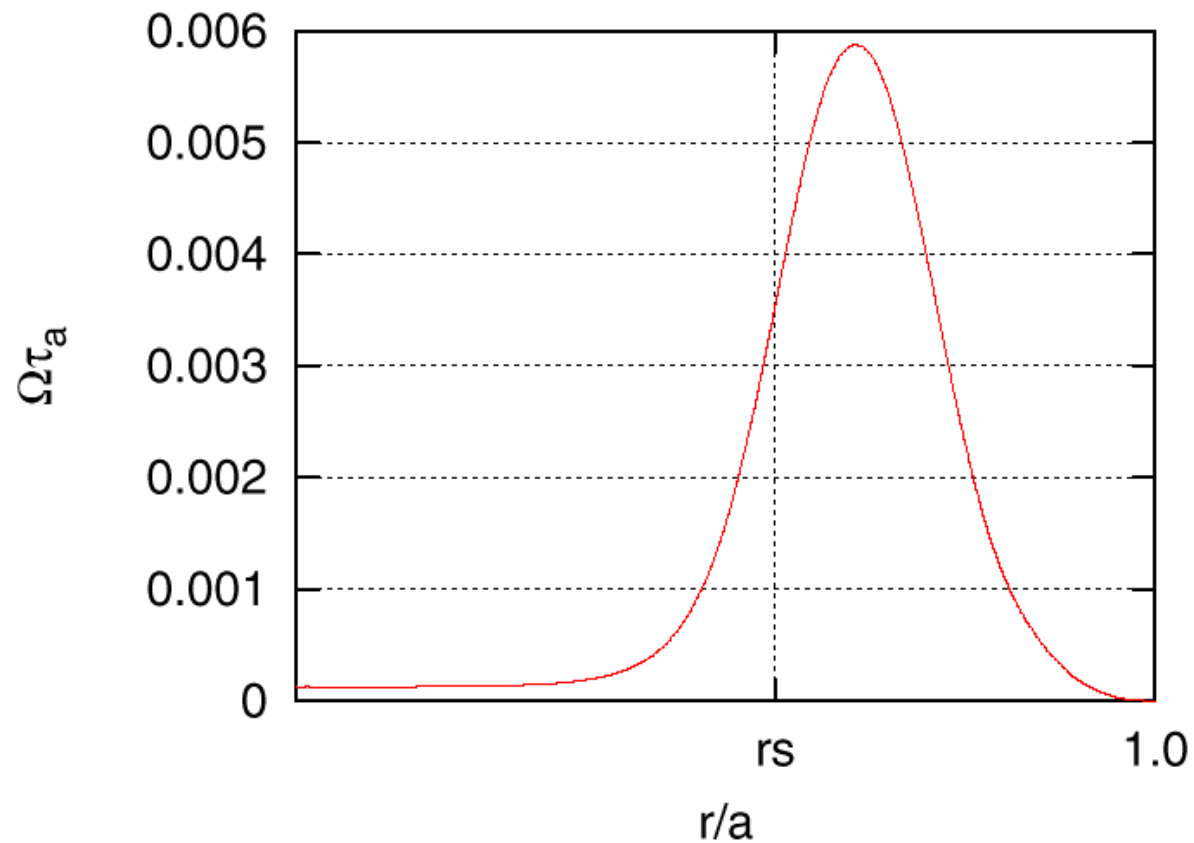
$$\gamma = C (\Delta')^{4/5} S^{-3/5}$$

- Nonlinear growth close to saturation

$$\frac{dW}{dt} = \Delta' \left(1 - \frac{W}{W_{sat}}\right)$$

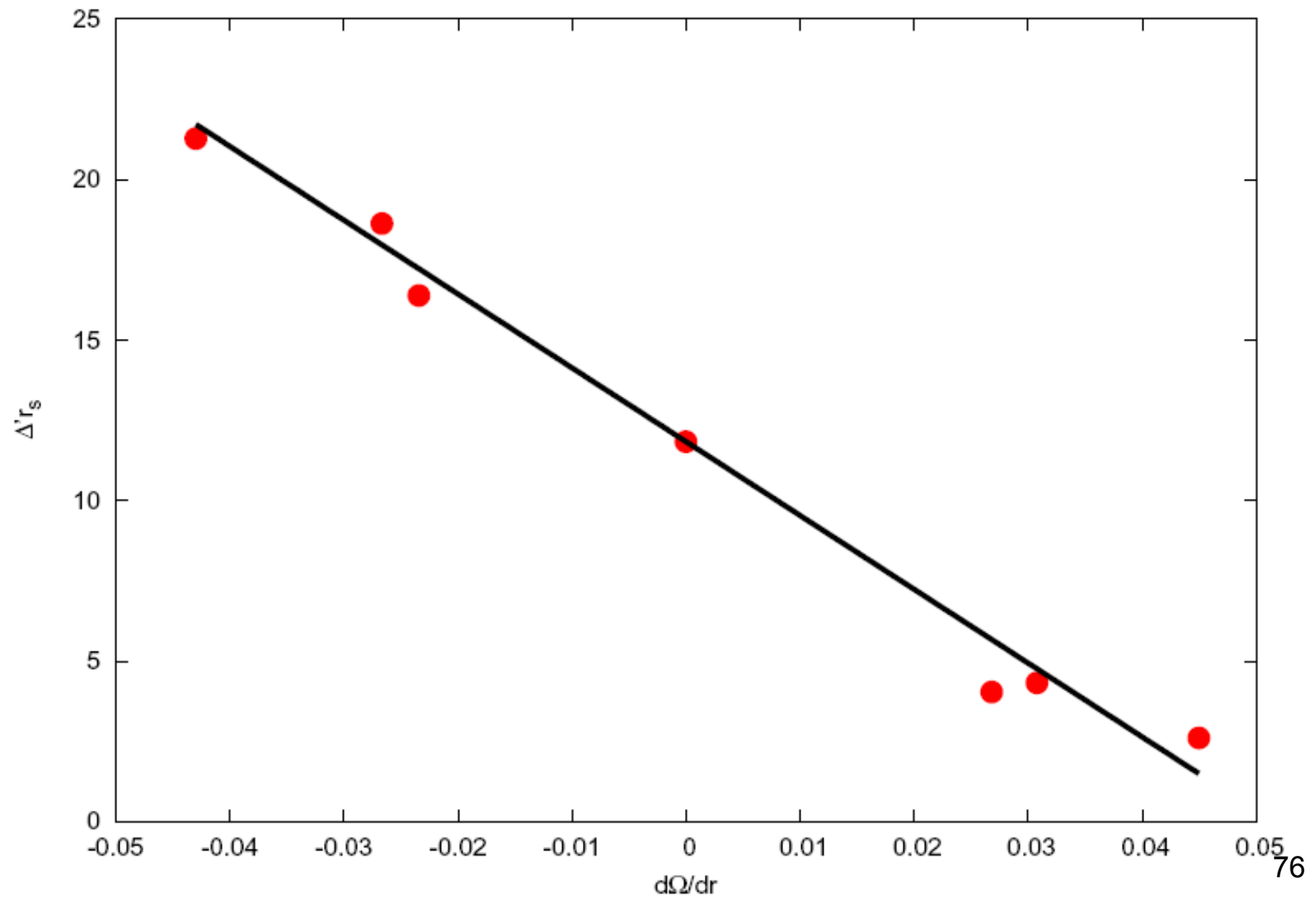
- Cross check linear and nonlinear results without flow and make runs with flow

Profile with positive flow shear at (2,1) surface

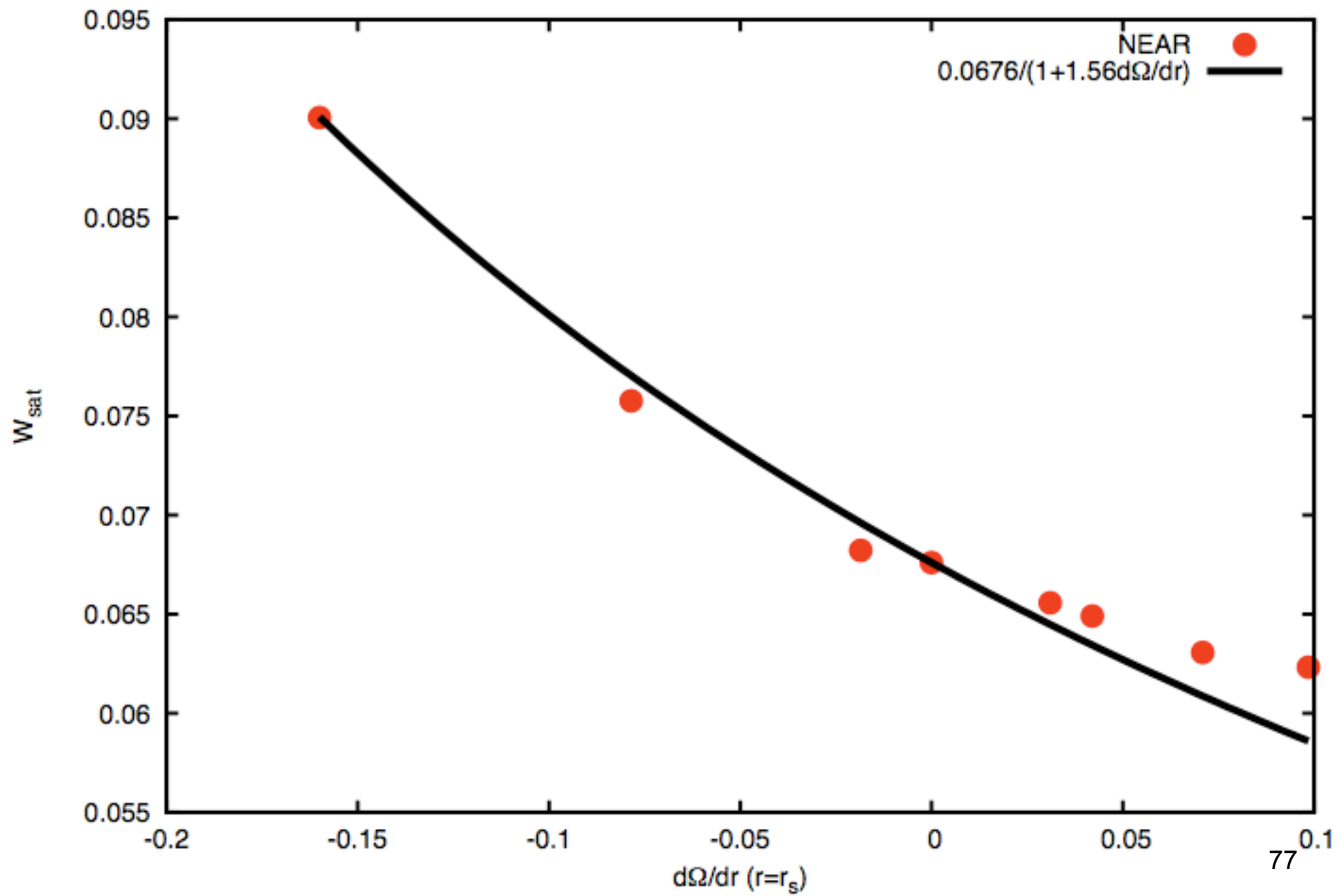


- Looked at single helicity mode dynamics

Results from NEAR



2/1 NTMs, $\Omega = 4.6\text{e-}3$



Newcomb Equation with sheared flow:

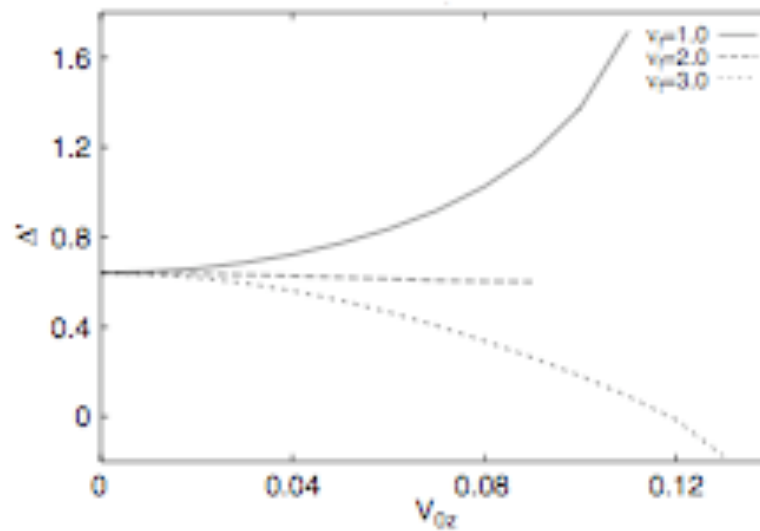
$$H \frac{d^2 \psi}{dr^2} + \left(\frac{dH}{dr} + h_f \right) \frac{d\psi}{dr} - \left[\frac{g}{F^2} + \frac{g_f}{F^2} + \frac{1}{F} \frac{d}{dr} \left(H \frac{dF}{dr} \right) \right] \psi = 0$$

h_f and g_f are **additional contributions** due to flow

- **Limit: $h_f, g_f \rightarrow 0$,** Furth, Rutherford, Selberg equation
[*Phys. Fluids* **16**, 1054 (1973)]
- **Limit: slab geometry, $(1/r) \rightarrow 0$, $d/dr \rightarrow d/dx$, $m/r \ll k_y$**
Chen-Morrison Equation [*Phys. Fluids B* **2**, 495 (1990)]

$$\Delta' = -\frac{1}{r_s \psi_s^2} \int_0^a \left[\left(\frac{d\psi}{dr} \right)^2 + \left\{ \frac{g}{H F^2} + \frac{1}{H F} \frac{d}{dr} \left(H \frac{dF}{dr} \right) + \frac{g_f}{H F^2} + \frac{1}{2r} \frac{d}{dr} \left(\frac{r h_f}{H} \right) \right\} \psi^2 \right] r dr - \frac{2m^2 k_z^2}{(k_z^2 r^2 + m^2)^2}$$

- The value of $\Delta /$ quite sensitive to the magnetic and flow profiles



- Quantitative comparisons with NEAR results are presently in progress

- Necessary to carry out better numerical investigations e.g. using PEST3 or other codes and from Newcomb's equation
- Need analytic modeling for better understanding of the underlying physics
- On going activity within the ITPA MHD Topical Group including effect of flow on the sawtooth instability

Outstanding Theoretical and Experimental Issues for NTMs

•Island width threshold

- perpendicular heat transport - local model - improvements necessary - active ongoing theoretical effort
- neoclassical/ion polarization effects - several open theoretical questions (role of drift waves, ion viscosity effects at high temp, the exact value of the mode frequency, role of energetic ions etc.) - experimental determination also a challenge.

•Seed Island formation

- 'standard' NTM initiated by outside MHD event - proper modeling necessary
- 'seedless' NTMs have been seen on TFTR/MAST
 - coupling to an ideal perturbed mode
 - $\Delta' > 0$ modes nonlinearly saturating at small levels?
 - Small scale islands modulated by ion population?
 - turbulence induced trigger

- **Local Current Drive stabilization**

- works well when island O point is hit - optimization methods being worked out.

- **Non-resonant Helical perturbation**

- works well experimentally but mechanism not well understood theoretically
- slows down rotation - affects other modes e.g. resistive wall mode

- **Interaction of fast particles with NTMs – open problem**

- **Plasma Rotation Effects on NTM – open problem**

New NTM regime – Frequently Interrupted Regime

- Happens at higher $\beta_N > 2.3$
- Growth of the NTM is often interrupted by drops in amplitude
- Observed for (3,2) modes in AUG and JET
- Confinement degradation is markedly reduced – so a benign regime
- Possible mechanism – nonlinear coupling between (3,2) NTM, (1,1) and (4,3) mode.

Concluding Remarks

- NTMs are large size magnetic islands driven by neoclassical effects
- Basic physics fairly well understood - modified Rutherford eqn.
- Can have a major impact on tokamak performance by **limiting β**
- Experimentally **widely observed** in several tokamaks
- **ECED method of stabilization works** well and is understood
- Still many experimental features (seed island, FJs, non-resonant stabilization etc.) are not well understood.
- **Active area of research offering opportunities for theoretical and experimental insight into reconnection and MHD control issues.**